# **ADDENDUM NO. 1**

**January 2, 2019** 

## PREQUALIFICATION DOCUMENTS

**FOR** 

PROJECT NO. 950464





The following changes, additions, or deletions shall be made to the following documents as indicated for this Project; and all other terms and conditions shall remain the same.

#### 1. ADVERTISEMENT FOR CM at RISK/GENERAL CONTRACTOR PREQUALIFICATION

**Replace** the Advertisement for CM at Risk/General Contractor Prequalification with the one issued in this Addendum.

- a) Mandatory Prequalification Conference to be held at Glen Mor Building K, Room K106/K108.
- b) Attendees must park in the Big Springs Parking Garage.
- c) Pregualification due on or before 2:00 P.M., January 17, 2019

**END OF ADDENDUM** 



# UNIVERSITY OF CALIFORNIA, RIVERSIDE BATCHELOR HALL RENEWAL PROJECT

# ADVERTISEMENT FOR PREQUALIFICATION for CONSTRUCTION MANAGER/GENERAL CONTRACTOR AT RISK

PROJECT NO. 950464 & 950531

University of California, Riverside Planning Design & Construction 1223 University Avenue, Suite 240 Riverside, California, 92521

Advertisement Date: December 14, 2018 – January 2, 2019

#### **LEVEL 1 PREQUALIFICATIONS**

Document Issue Date:

Mandatory Prequalification Conference:

Notice of Intent Requested by:

Last Day for Questions:

December 14, 2018

January 3, 2019

January 3, 2019

January 3, 2019

Prequalification Questionnaire Due by: January 11, 2019, 2:00 P.M. January 17, 2019, 2:00 P.M.

#### **LEVEL 2 REQUEST FOR PROPOSAL**

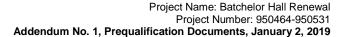
LEVEL 2: Bid / Best Value 1st Quarter 2019



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#### ADVERTISEMENT FOR CM at RISK/GENERAL CONTRACTOR PREQUALIFICATION

Subject to funding approval and conditions prescribed by the University of California, Riverside, responses to University's prequalification documents for a CM at Risk with Best Value contract are sought from prospective CM/Contractor bidders (hereafter "bidders") for the following project:

BATCHELOR HALL RENEWAL PROJECT NO. 950464 & 950531 University of California, Riverside

**PREQUALIFICATION OF PROSPECTIVE CM/CONTRACTORS:** University has determined that CM/Contractors who submit bids on this Project must be prequalified.

<u>LICENSES</u>: Prequalified bidders must possess a current California contractor's license – General Building License Classification, License Code "B."

#### **DESCRIPTION OF THE WORK:**

Batchelor Hall is a ±110,000 square foot laboratory building which was constructed in 1965 to support the University's science programs. The buildings utility infrastructure systems have reached the end of their service lives, and in many cases the systems have become obsolete and exist in poor condition. The Batchelor Hall Renewal project will replace and upgrade the building mechanical, plumbing electrical, conveyance and fire protection systems, and convert several wet laboratories and support spaces to provide instructional laboratories and support spaces.

The building still serves the campus science programs and will need to continue to doing so during the renovation project. The university will retain a contractor, through competitively bidding the Project, to provide Pre-Construction Services specific to Design Quality Assurance, Value Engineering, Occupant Relocation Planning, Project Phasing/Logistics/Scheduling, Cost Estimating and to act as a general contractor to construct the Project as the design is completed. The pre-construction services during design are referred to in the Contract Documents as Phase 1; the construction period is referred to as Phase 2.

#### PREQUALIFICATION SCHEDULE:

Interested firms may obtain an electronic copy of the Prequalification Documents on Friday, December 14, 2018, at 10:00 AM. To receive a copy of the prequalification Documents, download directly at:

https://ae.ucr.edu/business/contractors.html

Prequalification Documents will be available at no charge.

#### **MANDATORY PREQUALIFICATION CONFERENCE:**

A mandatory prequalification conference will be held Thursday, January 3, 2019 at 10:00 AM, in Bannockburn Village <u>Glen Mor, Room K106/K108</u>. Attendees must park in Lot 24 <u>Big Springs Parking Garage</u>. The address for this prequalification conference is:

Bannockburn Village J-102
3637 Canyon Crest Drive
Riverside, CA 92507
Glen Mor Building K, Room K106/K108
Big Springs Rd.
Riverside, CA 92521

Attendees shall be staff members of the CM/Contractor bidder's firm who will be actively involved in responding to this Prequalification, and who are highly knowledgeable of the firm's potential response to the prequalification criteria. Entities intending to respond to our prequalification request for this project are asked to confirm their intent to do so, by emailing a written statement (Notice of Intent statement) to Betty Osuna <a href="mailto:betty.osuna@ucr.edu">betty.osuna@ucr.edu</a> by 1:00 PM on Thursday, January 3, 2019; please include the Project name on the subject line of your email.

The last day for questions will be on Thursday, January 3, 2019, on or before 1:00 PM. Only written inquiries will be permitted; forward to Betty Osuna <a href="mailto:betty.osuna@ucr.edu">betty.osuna@ucr.edu</a>; please include the Project name on the subject line of your



email.

#### PREQUALIFICATION DEADLINE:

Each Bidder's completed Prequalification Questionnaire and associated documents must be received at the below-listed University Contracts Administration office in a sealed envelope/box on or before: **2:00 PM, on** January 11, 2019 January 17, 2019. Submit five (5) original copies and one (1) electronic copy on flash media to:

Attn: Contracts Administration, Betty Osuna UCR Contracts Administration 1223 University Avenue, Suite 240 Riverside, CA 92521

\*Include the Project Number and Name on the outside of your envelope/box.

A map of the Campus is available at: http://parking.ucr.edu/docs/parking\_lot\_map\_web\_version.pdf

All information requested in these forms must be provided in order to be considered "responsive" to the requirements of pregualification.

Oral, telephonic, electronic mail (e-mail), facsimile, or telegraphic Prequalification Questionnaires are invalid and will not be accepted. Only University's Prequalification Questionnaire (and associated documents) will be accepted. University reserves the right (but is not obligated) to request, receive, and evaluate supplemental information after the above time and date at its sole determination.

Any person or entity not satisfied with the outcome of the prequalification must file an appeal challenging the outcome within 3 calendar days from the date of the University's written notice regarding prequalification determination. Any assertion that the outcome of the prequalification process was improper will not be a ground for a bid protest.

The dates, times, and location set for receiving and opening of bids will be set forth in an Advertisement for Bids.

The University reserves the right to reject any or all responses to Prequalification Questionnaires and to waive non-material irregularities in any response received.

All information submitted for prequalification evaluation will be considered official information acquired in confidence, and the University will maintain its confidentiality to the extent permitted by law.

Every effort will be made to ensure that all persons have equal access to contracts and other business opportunities with the University within the limits imposed by law or University policy. Each Bidder may be required to show evidence of its equal employment opportunity policy. The successful Bidder and its subcontractors will be required to follow the nondiscrimination requirements set forth in the Bidding Documents and to pay prevailing wage at the location of the work.

The work described in the contract is a public work subject to section 1771 of the California Labor Code.

No contractor or subcontractor, regardless of tier, may be listed on a Bid for, or engage in the performance of, any portion of this project, unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5 and 1771.1.

This project is subject to compliance monitoring and enforcement by the Department of Industrial Relations.

The successful Prequalified Bidder shall pay all persons providing construction services and/or any labor on site, including any University location, no less than the UC Fair Wage (defined as \$13 per hour as of 10/1/15, \$14 per hour as of 10/1/16, and \$15 per hour as of 10/1/17) and shall comply with all applicable federal, state and local working condition requirements.

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA University of California, Riverside

Publication Dates: December 14, 2018 - January 2, 2019



#### **SECTION I. – BACKGROUND & DESCRIPTION**



#### A. Project Background

Batchelor Hall was completed in 1965 and was designed to provide maximum usability and flexibility per design standards current at that time. The four story building frames the northeast corner of a quadrangle formed at the intersection of Eucalyptus and Science walks. Batchelor Hall currently houses the Institute of Integrative Genomic Biology, Department of Botany and Plant Sciences, Life Sciences Graduate Student Advising Office and Student Academic Affairs under the College of Natural and Agricultural Sciences (CNAS).

The building has remained substantially unchanged and currently houses an equipment core which is inefficient, and lacks the recourse necessary for modern research.

#### B. <u>Project Description</u>

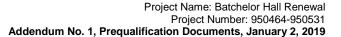
The Batchelor Hall Renewal project is comprised of two separate projects, the Batchelor Hall Renewal and the Batchelor Hall Interiors project. The Batchelor Hall Renewal focuses on replacement of the core building systems and the Batchelor Hall Interiors focuses on the interior improvement aspects of the building. The project scope breakdown is as follows:

#### Batchelor Hall Renewal

- Heating and cooling systems
- Ventilation systems
- Energy management systems
- Primary and emergency electrical systems
- Fire alarm and protection systems
- De-ionized water and reverse osmosis systems
- Hazardous materials abatement
- Reconfiguration of research laboratory and related spaces

#### **Batchelor Hall Interiors**

- Expand restrooms
- Upgrade elevator to meet current code and to maintain service during construction
- Provide laboratory equipment rooms
- Develop telecommunication rooms with new risers
- · Install fire sprinkler mains in corridors
- Place standby power panels on each floor
- Complete code upgrades in stairways
- Connect building to standby generator



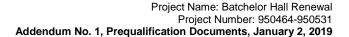


In order to allow ongoing occupancy and research in the building during construction the project will be broken into approximately four phases as will be determined by the University in working in cooperation with the CMAR with a separate project planning and preparation phase. The University seeks to partner with a construction manager to develop an effective and responsive relocation and phasing plan which will facilitate continued building utilization during construction.

The University has contracted with HDR Architects to develop the construction documents which will be utilized on the project. The construction manager will be introduced to the construction document process at ninety-five percent plan completion of the construction documents or earlier should as plans become available.

The campus' proposed schedule anticipates that construction will commence in spring 2019 with construction completed in spring 2021.

**Estimated Anticipated Construction Cost: \$17.5M** 



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#### C. SCOPE OF CM at RISK WORK

The University is seeking CM/General Contractors who are willing and interested in joining and collaborating with the University and its team of consultants to provide expertise and services to achieve the University's goals for this project. The CM/General Contractor will be allowed to self-perform some trades with University bid process oversight.

The University will retain a contractor, through competitively bidding the Project, to provide Pre-Construction Services during the Project design period and to act as a general contractor to construct the Project as the design is completed. The pre-construction services during design are referred to in the Contract Documents as Phase 1; the construction period is referred to as Phase 2.

Phase 1 – Preconstruction will commence immediately afterward and will continue through start of construction. Phase 2 – Construction is anticipated to begin in summer 2019. The phases will overlap with a phased buy-out.

The following is a brief overview of the services the CM will be required to perform if awarded both the preconstruction phase contract and the construction phase contract:

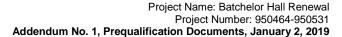
- 1. Develop a construction cost estimate and provide continuous cost management to assure the design is within the budgeted cost estimate.
- 2. Provide construction planning, logistics, phasing, and scheduling during design and through construction completion.
- 3. Working with University staff, develop an occupant relocation plan in coordination with the project phasing as such to maintain building use and construction in areas not within the current phase.
- 4. Develop and maintain a Project schedule that incorporates all tasks and approvals of all involved parties necessary to complete the Project within the contract durations.
- 5. Incorporate LEAN practices and tools into the process.
- 6. Incorporate integrated project management practices into the Project.
- 7. Provide overall project quality assurance.
- 8. Provide cost estimating and value engineering. Reconcile CM cost estimates with Architect's cost estimates at various milestones.
- 9. Coordinate with and provide information as required to regulatory agencies. Schedule and monitor required agency approvals.
- 10. Prequalify trade contractors to comply with University's standards.
- 11. Develop Trade Contractor Bid Packages, competitively bid and receive bids in the most logical, competitive, and seamless manner in accordance with the applicable provisions of the California Public Contract Code and University Policy.
- 12. Warrant the completeness and constructability of the construction documents and ensure that trade bid packages include a complete scope of work.
- 13. Manage and administer the Project construction phase to achieve construction completion within the contract time and budget and with high quality workmanship.

#### D. PREVAILING WAGES

This project will be subject to prevailing wages predetermined by the Department of Industrial Relations (DIR).

#### E. CM AT RISK SELECTION PROCESS

This Prequalification phase will immediately be followed by the bidding phase, wherein Contractors will be asked to bid their Fees, GC's, OH&P. The RFPs will be evaluated on a Best Value basis, with numbers sealed until after the qualitative portions are evaluated and scored. Qualitative aspects will include the Contractor's proposed project schedule. Final selection will be based on the Best Value method of determining the bidder with the lowest cost per quality point.



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#### F. PREQUALIFICATION SCHEDULE:

- 1. Mandatory Prequalification Conference January 3, 2019
- 2. Prequalification Documents Due January 11, 2019 January 17, 2019
- 3. RFP/Best Value Documents issued to Prequalified CM/Contractors- 1<sup>st</sup> Quarter 2019\*

\*Date subject to change

#### G. PREQUALIFICATION PROCESS

The University will evaluate CM/Contractor qualifications for prequalification (Level 1). The University intends to obtain proposals from the prequalified CM/Contractors under a Best Value process in accordance with State statute (RFP/Bid Phase, Level 2). Prequalification of the CM/Contractor is required for the Best Value process. The evaluation and results of this prequalification will not have any relation to the evaluation and results of the Best Value questionnaire.

The successful CM/Contractor will be responsible for working with the architect to provide preconstruction services including plan review, constructability analysis, budgeting, value engineering analysis, and estimating, bid phase services and related work required to fully buy-out and construct the project as described and specified in the Level 2 Contract Documents.

The successful CM/Contractor will provide both preconstruction and construction services. The Contractor shall hold all required licenses and be the financially responsible entity for bonding and insurance.

To prequalify for the proposal process, a prospective CM/Contractor must meet all of the requirements described in this Prequalification Process. The purpose of the Prequalification Process is to provide the University with sufficient information to determine if a CM/Contractor is "qualified." The term "qualified" refers to quality, capacity, experience, financial stability, and the ability of the proposed team to satisfactorily perform the work.

#### The Prequalification Process is as follows:

**Questionnaire:** Provide all requested information, as applicable, on the questionnaire forms and attachments. Any prospective CM/Contractor failing to do so may be deemed non-responsive with respect to the prequalification process for this project. All information submitted for prequalification evaluation will be considered official information acquired in confidence, and the University will maintain its confidentiality to the extent permitted by law.

#### **Availability of Prequalification Questionnaires:**

On December 10, 2018, Prequalification documents will be issued to interested CM/Contractors at:

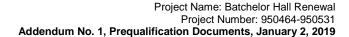
#### https://ae.ucr.edu/business/contractors.html

**Mandatory Prequalification Conference:** Submitting firms (CM/Contractors) are required to attend the Mandatory Prequalification Conference scheduled on **January 3, 2019, at 10:00 AM**. CM/Contractor's failing to sign in on the attendance sheet at the conference will not be eligible to participate in the prequalification process.

#### Participants shall meet at:

Bannockburn Village J-102
3637 Canyon Crest Drive
Riverside, CA 92507
Glen Mor Building K, Room K106/K108
Big Springs Rd.
Riverside, CA 92521

Submittal Procedures and Deadline: CM/Contractors interested in prequalifying to propose on this project must submit (5) five original hard copies as well as (1) one electronic copy on flash drive of all completed Prequalification Questionnaire forms and attachments. The University is not responsible for any costs that CM/Contractors may incur to complete the prequalification process. All applicable portions of the attached forms shall be completed with attachments if the space provided on the questionnaire is not sufficient. QUESTIONNAIRES FAILING TO CLEARLY PRESENT ALL OF THE REQUESTED INFORMATION, OR THAT ARE NOT IN THE FORMAT REQUESTED MAY BE CONSIDERED NON RESPONSIVE AND REJECTED





**ON THAT BASIS.** Each copy of the submittal must be complete and fully responsive to the requirements of the Prequalification documents.

#### Prequalification Submittals must be submitted at:

UCR Planning, Design & Construction Betty Osuna 1223 University Avenue, Suite 240 Riverside, CA 92521

Submittals must be received at the above address no later than January 11, 2019 January 17, 2019 at 2:00 PM.

Prequalification Submittals must indicate the CM/Contractor's name and address using the following format:

#### PREQUALIFICATION SUBMITTAL

**Company Name and Address:** 

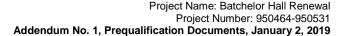
Project Name: Batchelor Hall Renewal/Batchelor Hall Renewal Due Date and Time: January 11, 2019 January 17, 2019, 2:00 PM

CM/Contractors shall assume full responsibility for timely delivery at the date and time designated for receipt of Prequalification Submittals. NO PREQUALIFICATION DOCUMENTS WILL BE ACCEPTED AFTER THE DUE DATE AND TIME.

#### 1. Rating and Evaluation Procedures

Prospective Construction Managers-General Contractors are advised that construction experience and key personnel will be evaluated. To become prequalified to bid, CM/Contractors must demonstrate the CM/Contractor's ability to successfully complete the Batchelor Hall Renewal Project. Rating and evaluation will be a two-part process. Part A will be Pass/Fail evaluation. Part B will be evaluated on a point basis. Only firms passing Part A will be evaluated in part B. The University intends to accept the top 3 ranking submittals, and will reserve the right to select the top 4 to move in to the bid process.

- a. To be prequalified, a prospective CM/Contractor **must**:
  - (1) **CONSTRUCTION EXPERIENCE:** Submit projects demonstrating sufficient project experience as CM/Contractor. The projects submitted will be evaluated based on the extent to which they meet the listed criteria.
  - (2) **KEY PERSONNEL:** Demonstrate adequate experience for CM/Contractor Key Personnel. **Proposed staff** members must be current employees of the proposing firm.
  - (3) LICENSE: Hold the proper license, current and active.
  - (4) **SURETY:** Submit a notarized statement from the proposed surety(ies) that states:
    - I. Contractor's current available bonding capacity meets or exceeds the minimum capacity described in the Questionnaire.
    - II. Contractor's total bonding capacity.
    - III. Surety(ies) proposed to be used on the project is an admitted surety insurer as defined in the California Code of Civil Procedure Section 995.120.
    - IV. Surety(ies) acknowledges its intent to provide bonding of the Project in the event Contractor is awarded the Project.
  - (5) **INSURANCE:** Submit a written declaration from its insurance agent/broker/carrier stating that the Contractor is able to obtain insurance that meets or exceeds the limits and ratings required for this project. Submit a copy of CM/Contractor's insurance certificate.
  - (6) **ANNUAL REVENUE**: Have average annual revenue of \$57,000,000 for the past three years. Submit audited financial information including annual revenue for the timeframe identified.
  - (7) Submit all requested information that is current, accurate, and complete.
  - (8) Have a permanent office within 100 miles of the project site.
- b. To be prequalified for the CM at Risk RFQ process, a prospective CM/Contractor must not have:
  - (1) **EXPERIENCE MODIFIER RATE:** An Experience Modification Rate above 0.99 for 5 or more of the past ten years
  - (2) **SURETY:** A surety completed work on any contract within the past ten years.
  - (3) **CM/CONTRACTOR LICENSE BOARD DISCIPLINARY PROCEEDINGS:** A Contractors State License Board disciplinary action in the past ten years.





- (4) FALSE CLAIMS HISTORY: Submitted a false or fraudulent claim within the past ten years.
- (5) **LABOR CODE VIOLATIONS:** Willful Labor Code violations including, but not limited to, repeated or willful violations of applicable laws and/or regulations pertaining to the payment of prevailing wages or employment of apprentices during the past ten years.

After review of the Prequalification Submittal, the University may, but is under no obligation to, request additional clarifying information. The Submittal must be complete and address all the stated requirements.

The University reserves the right to re-open the CM/Contractor prequalification process if the University determines that there are insufficient prequalified CM/Contractors to support the Proposal process.

CM/Contractors will be notified of their prequalification status after evaluation of the Prequalification Submittals.

Prospective CM/Contractors that do not prequalify as a result of their response to the Questionnaire will receive written notice from the University. Any person or entity not satisfied with the outcome of the prequalification must file an appeal challenging the outcome within 3 calendar days from the date of the University's written notice regarding prequalification determination. Any assertion that the outcome of the prequalification process was improper will not be a ground for a bid protest. The University reserves the right to reject any or all responses to Prequalification documents and any or all proposals and to waive any non-material irregularities in any response or proposal received.

#### H. PROPOSAL PREPARATION, SUBMITTAL EVALUATION AND CONTRACT AWARD PROCESS

The 3 CM/Contractors whose submittals score the highest points will be prequalified and therefore will be eligible to submit a proposal to construct the project. The University will reserve its right to prequalify the top 4 submittals but is under no obligation to do so. The proposals will be prepared in concurrence with a Best Value questionnaire, the results of which will be factored into the bid results. Additional information and details regarding proposal preparation, submittal and the University's evaluation and award process will be provided to the prequalified CM/Contractors in the Bid documents and the mandatory pre-proposal conference to follow.

Prequalified Contractors from this initial prequalification phase will be announced in an Advertisement for Bids from Prequalified Bidders during the 1<sup>st</sup> Quarter of 2019. A mandatory pre-bid meeting will be held, location and date TBD, all prequalified bidders are required to attend. Documents for the next phase of this CM at Risk, Best Value bid process are anticipated to be available 1<sup>st</sup> Quarter of 2019.

The successful proposer will be required to show evidence of the following lines of insurance at the time of award: General Liability, Auto Liability, Worker's Compensation, Errors & Omissions (Professional Liability).

The exact dates, times, and location will be set forth in an announcement to prequalified bidders

**END OF SECTION I** 



#### **SECTION II - PREQUALIFICATION QUESTIONNAIRE**

December 14, 2018

For

#### **BATCHELOR HALL RENEWAL**

PROJECT NO. 950464-950531
UNIVERSITY OF CALIFORNIA, RIVERSIDE

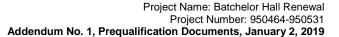
SUBMITTED BY:			
	(Bidd	er Name. If a Joint Venture, state name of JV Entity)	
	Type of Organization:	Sole Proprietor/Individual Partnership	
		☐ Joint Venture	
		Corporation	
		(State of Incorporation)	
		(Contact Name & Title)	
	-	(Street Address)	
		(City, State, Zip Code)	
	(Telephone Number)	(Facsimile Number)	
	-	(F-mail)	

Each prospective bidder must answer all of the following questions and provide all requested information. Any prospective bidder failing to do so will be deemed not responsive and not prequalified with respect to this Prequalification at the University's sole discretion. All bidders that submit a Prequalification Questionnaire will be notified in writing of whether or not they have successfully achieved Prequalification status.

Prospective bidders that correctly respond to all questions that require a specific "YES" or "NO" response to prequalify per the Prequalification Questionnaire Evaluation form, submit all required information and supporting data, obtain the total requisite number of points per response as required AND are determined to have accurately and truthfully responded to the questions will be prequalified. Only those bidders that have been determined to be prequalified will be eligible to submit a bid for the Project.

If a prospective bidder is determined by the University not to be prequalified, the prospective bidder may request a review by the University of California, Riverside. If any person or entity is not satisfied with the outcome of the prequalification, such person or entity may file an objection with the University of California, Riverside. Any such a request or objection must be submitted in writing and received by the University of California, Riverside, at the address for receipt of Prequalification Questionnaires listed on the Advertisement for Contractor Prequalification no later than (3) three calendar days after the date of the University's written notice regarding prequalification determination. The decision resulting from such review is final and is not appealable within the University of California. Any assertion that the outcome of the prequalification process was improper will not be grounds for a bid protest.

All information submitted for prequalification evaluation in response to Sections 3 and 14 and marked as "confidential" will be considered official information acquired in confidence, and the University of California will maintain its confidentiality unless (1) the University determines that it is required to release the information to a third party pursuant to the requirements of the California Public Records Act or (2) the University is required by court order to release the information to a third party pursuant to the requirements of the California Public Records Act. In the event that the University receives a request pursuant to the California Public Records Act and the University determines that it is required to disclose information marked "confidential" by the provisions of the California Public Records Act, the University will notify the prospective bidder of the pending disclosure at least 72 hours prior to such disclosure so that the prospective bidder may seek a restraining order in advance of such disclosure. The University shall err on the side of transparency and will generally treat information provided by the prospective bidder that is not marked "confidential" as subject to disclosure pursuant to





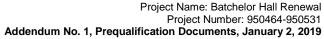
the California Public Records Act. Likewise, any decision by the University that any document is subject to disclosure pursuant to the California Public Records Act shall not prevent the University from making a subsequent determination that any document is not subject to disclosure pursuant to the California Public Records Act.

All other information submitted for prequalification evaluation will be considered official information acquired in confidence, and the University will maintain its confidentiality to the extent permitted by law.

As used herein, the terms "prospective bidder" or "bidder" or "contractor" or "entity" mean the same. The terms "prospective bidder" or "bidder" or "contractor" or "entity" mean all entities and individuals who are intended to work as a part of, for, or under the prospective bidder for the Project that is the subject of this prequalification process and includes, without limitation, such entities or individuals who are prime contractors, and if a joint venture, all members of the joint venture. Whenever an individual or entity is referred to, the reference includes the individual or entity and all partners, affiliates, subsidiaries, heirs, executors, administrators, assigns, predecessors and successors in interest of or to the individual or entity. For instance, a reference to a bidder includes the prospective bidder and all partners, affiliates, subsidiaries, heirs, executors, administrators, assigns, insurers, predecessor businesses and successor businesses of the bidder.

WHERE NECESSARY, COPY THE FORMS IN THIS PACKAGE. USE ONLY THESE FORMS. Oral, telephonic, electronic mail (e-mail), facsimile, or telegraphic Prequalification Questionnaires are invalid and will not be accepted.

SUBMIT FIVE (5) ORIGINAL HARDCOPIES AND ONE ELECTRONIC COPY ON A FLASH DRIVE NO LATER THAN THE DEADLINE IN THE ADVERTISEMENT FOR CONTRACTOR PREQUALIFICATION





2.

1. SURVEY (Information Only)

	Press Enterprise	UCR Website
	McGraw Hill ("Greensheet") Reed	Other:
LICEN	NSE(S) (Pass/Fail Section)	
A.	Do you have the following State of Califo Contractor's State License Board?	rnia contractor's license current and active with the California
	License Classification & Code: <b>B, General (</b>	<u>Contractor</u>
	YES NO	
	requisite license. If the prospective bidder satisfy all of the requirements in this Preq	this Prequalification Questionnaire must be the holder of the is a Joint Venture, the Joint Venture must hold the license and ualification Questionnaire as the joint venture. This means that e projects that it cites as references in Section 6 while the joint
В.	If "YES," provide the following information	n about the contractor's license:
	(1) Name of license holder exactly as on	file with the California Contractor's State License Board:
	(2) License Number:	
	(3) Issue Date:	(4) Expiration Date:
C.		pended or revoked by the California Contractor's State License s preceding the deadline for submission of the Prequalification
	YES NO	



#### 3. FINANCIAL INFORMATION (Points Section)

The University requires that prospective bidders provide the following information regarding their financial condition for the last two fiscal years ended and the current year to date. To verify the following information, each prospective bidder must submit copies of its financial statements, either reviewed or audited in accordance with Generally Accepted Accounting Principles in the United States of America, for the specified periods other than for the latest year to date. A financial statement that is neither reviewed or audited is not acceptable.

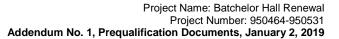
	Year Ended:	Year Ended:	Latest Year to Date:
	<u>2016</u>	<u>2017</u>	<u>2018</u>
Current Assets:			
Underbillings¹:			
Total Assets:			_
Current Liabilities:			
Total Liabilities:			
Revenue:			
Expenses:			
Equity:			
Contracts Receivable (C/R) <sup>2</sup> :			
Cash:			
Marketable Securities <sup>3</sup> :			

The University will calculate the following ratios for each period. Must score an average of 25 points or more over the three periods to pass. \*\*\*The University reserves the right to request additional information to make a final determination of the entity's financial status.

Current Ratio = Current Assets / Current Liabilities =	Greater than 1.5 (10 points) 1.0-1.5 (5 points) Less than 1.0 (0 points)
Debt to Equity = Total Liabilities / Equity =	Less than 2.5 (10 points) 2.5-4.0 (5 points) Greater than 4.0 (0 points)
Days in Receivable = (C/R x 365) / Revenue =	Less than 75 days (10 points) 75-90 days (5 points) Greater than 90 days (0 points)
Acid Test = (Cash + Marketable Securities + C/R) / Current Liabilities =	Greater than 1.5 (10 points) 1.0-1.5 (5 points) Less than 1.0 (0 points)
<b>U/B to Working Capital =</b> Underbillings <sup>1</sup> / Working Capital = <sup>1</sup> costs & earnings in excess of billings	Less than 25% (10 points) 25-35% (5 points) Greater than 35% (0 points)

<sup>&</sup>lt;sup>2</sup>Include retention.

<sup>&</sup>lt;sup>3</sup>Do not include marketable securities held in lieu of retention.





#### 4. SURETY (Pass/Fail Section)

Prospective bidder shall obtain and submit the Surety Declaration in the form shown below, signed by an authorized representative of the surety proposed to be used for this project and notarized.

A.	Is the surety to be used authorized by the Insurance Commissioner to transact business in the State of California as an admitted surety insurer (as defined in the California Code of Civil Procedure Section 995.120)?
	YES NO NO
В.	Is the prospective bidder able to obtain <b>bonding up to \$17,000,000</b> for this Project?
	YES NO NO
	Bond Capacity:
	(1) Provide your maximum bonding limit per project:
	(2) Provide your aggregate bonding limit:
	(3) Provide your available bonding capacity:
C.	Has any surety paid out any monies on claims on the <b>payment bond</b> issued by a surety for the benefit of the owner arising out of the construction activities of the bidder within the last five (5) years from the date of the Prequalification Deadline?
	YES NO NO
D.	Has any surety paid out any monies on claims on the <b>performance bond</b> issued by a surety for the benefit of an owner arising out of the construction activities of the bidder within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?
	YES NO



#### E. Surety Declaration:

PROVIDE THIS DECLARATION TO YOUR SURETY(IES) FOR COMPLETION. DO NOT HAVE THE SURETY SUBMIT THIS INFORMATION DIRECTLY TO THE UNIVERSITY.

(If bidder has more than one surety, submit a completed form for each.) The undersigned declares under penalty of perjury that the bonding information indicated above on this Prequalification Questionnaire for is true and correct and that this Declaration was executed in , in the State of (Name of City if within a City, otherwise Name of County) (Date) (Signature) (Name and Title - Printed or Typed) (Representing [Surety Name]) (Entity Name if Different than Surety Name) (Street Address) (City, State, Zip Code) (Facsimile Number) (Telephone Number) (E-mail)

(ATTACH ORIGINAL NOTARIZATION of SURETY REPRESENTATIVE'S SIGNATURE)



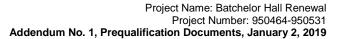
A.

Prospective bidder shall obtain and submit the Insurance Declaration in the form shown below, or submit a sample certificate of insurance form from its insurer, or submit a letter that declares the same as the Insurance Declaration, signed by an authorized representative of its insurer on the representative's or insurer's letterhead. (If more than one insurer or insurance representative, submit a completed form or sample certificate of insurance form or letter for each.)

Is the bidder able to obtain insurance in the following limits for the requ	uired coverages?
YES NO	
Commercial Form General Liability Insurance* - Limits of Liability	Minimum Requirement
Each Occurrence - Combined Single Limit for Bodily Injury and Property	4
Damage	\$5,000,000
Products - Completed Operations Aggregate	\$5,000,000
Personal and Advertising Injury	\$1,000,000
General Aggregate	\$2,000,000
Contractor's Professional Liability – Limits of Liability	
Professional Liability	\$1,000,000
Business Automobile Liability Insurance* - Limits of Liability	Minimum Requirement
Each Accident - Combined Single Limit for Bodily Injury and Property	
Damage	\$1,000,000
Workers' Compensation and Employer's Liability**	Minimum Requirement
Workers' Compensation:	(as required by Federal and
	State of California law)
Employer's Liability:	
Each Employee	\$1,000,000
Each Accident	\$1,000,000
Each Policy	\$1,000,000
·	

<sup>\*</sup>This insurance must be (i) issued by companies with a Best rating of A- or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's) or (ii) guaranteed, under terms consented to by the University (such consent to not be unreasonably withheld), by companies with a Best rating of A- or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's). Further, the deductible, or retained limit, for each coverage shall not be more than \$100,000.

<sup>\*\*</sup>This insurance must be issued by companies (i) that have a Best rating of B+ or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's); or (ii) that are acceptable to the University.

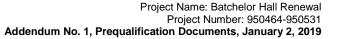




#### B. **Insurance Declaration**:

PROVIDE THIS DECLARATION TO YOUR INSURANCE CARRIER FOR COMPLETION AND HAVE YOUR CARRIER RETURN THE COMPLETED DECLARATION TO YOU. THE PROSPECTIVE CONTRACTOR MUST SUBMIT THIS DECLARATION TO UNIVERSITY. DO NOT HAVE YOUR CARRIER SUBMIT THIS DECLARATION DIRECTLY TO THE UNIVERSITY

Questionnaire for		
	(Name of Prospective Bidder)	
and that this Declaration was executed in		
	, in the State of	
(Name of City if within a City, otherwise Name of County)	, che state oi	(State)
on		
(Date)		
	(Signature)	
	(Signature)	
	(Name &Title)	
(	(Insurer Name)	
	Street Address)	
(	Street Address;	
(City	, State & Zip Code)	
(Telephone Number)	(	Facsimile Number)
(Mobile Number)		(Email)

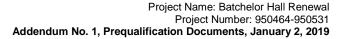




6. RESPONSIBLE PERFORMANCE ON ALL COMPLETED PROJECTS (Points Section)

Each "NO" is worth 10 points. Must score 70 or more points to pass. At its sole discretion, the University may award 5 points due to mitigating circumstances.

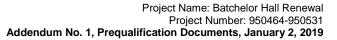
If you answer "YES" to any question, provide an explanation on a separate page and attach it to this Prequalification Questionnaire. Has bidder provided any inaccurate or incorrect information required during prequalification, qualification, bidding, or required by contract documents? YES NO В. Is bidder currently debarred from work by a public entity in California? YES NO If "YES," provide name of public entity: C. Has bidder performed any work without the required contractor's license? YES NO D. Is bidder currently the debtor in a bankruptcy case? YES NO E. Has bidder used unlicensed or improperly licensed subcontractors? YES \ NO \ F. Has bidder substituted a subcontractor without an owner's written consent? YES NO G. Has bidder failed to adhere to contractually required and agreed-upon schedules? YES NO Н. Has bidder ever been convicted of a criminal offense in connection with current or past contracts for projects? YES NO I. Does bidder have a history of poor past performance of work as evidenced by continued use of defective materials, unauthorized product substitutions, refusal to correct work not in accordance with the contract documents, termination for cause, or repeated failure to provide proper supervision required by the contract documents? YES NO J. Has a public entity ever had to issue bidder a unilateral change order because bidder refused to execute a change order and perform the change order work? YES NO





#### 7. SAFETY PROGRAM (Pass/Fail Section)

A.		nstituted an injury and illness prevention program (IIPP) pursuant to Section 3201.5 or e Labor Code?
	YES NO	
	If "YES," the	n attach a copy of the Table of Contents from bidder's IIPP.
В.	Will bidder h	nave personnel permanently assigned and dedicated <u>full-time</u> to Safety on this Project?
	YES NO	
	If "YES", pro	vide the name(s) and title(s) of the person(s):
	Name ar	nd Title:
	If necessary Questionnai	, include additional information on a separate page and attach it to this Prequalification re.
C.	the Labor C	nad a serious and willful violation of Part 1 (commencing with Section 6300) of Division 5 of ode within the last five (5) calendar years preceding the deadline for submission of the cion Questionnaire?
	YES NO	
D.	five or more Questionnai	maintained a Workers' Compensation Experience Modification Rate (EMR) below .99 for (5) of the past ten calendar years preceding the deadline for submission of the Prequalification re? (If bidder has been in business for less than five years, then bidder must have maintained compensation EMR that averages below .99 for all years that bidder has been in business.)
	125	
	Year:	EMR:
		ENAD
	Year:	EMR:
	Year: Year:	EMR: EMR:



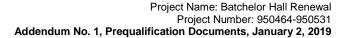


9.

10.

### 8. QUALITY ASSURANCE/QUALITY CONTROL (QA/QC) PROGRAM (Pass/Fail Section)

A.	Does bidder have a written quality assurance/quality control program?
	YES NO NO
	If "YES," attach a copy of bidder's QA/QC program.
В.	Will bidder have personnel permanently assigned and dedicated <b>full-time</b> to QA/QC on this Project?
	YES NO NO
	If "YES", provide the name(s) and title(s) of the person(s):
	Name and Title:
	If necessary, include additional information on a separate page and attach it to this Prequalification Questionnaire.
LABOR (	COMPLIANCE (Pass/Fail Section)
A.	Has the prospective bidder committed a prevailing wage violation* within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?
	YES NO NO
	*Does not include a violation determined to be due to inadvertent or unintentional error by the California Department of Industrial Relations. If a violation due to inadvertent or unintentional error, then on a separate page attached to this Prequalification Questionnaire, identify the violation by providing the project name, date of the violation, name of the entity (or entities), a brief description of the nature of the violation, and a brief description of the status of the violation (e.g., pending, or if resolved, a brief description of the resolution, etc.) for the University's verification.
В.	At any time within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire, has the prospective bidder been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?
	YES NO NO
DISCIPL	INARY MEASURES HISTORY (Pass/Fail Section)
from doi State Ur	ler (or any member of the entity if a joint venture or partnership) been disqualified or otherwise barred ng business with a public agency (e.g., federal, state, county, city, University of California System, California niversity System, school district,) within the last five (5) calendar years preceding the deadline for on of the Prequalification Questionnaire?
YES 🗌	NO [





12.

13.

Has bidder (or any member of the entity if a joint venture or partnership) been found in a final decision of a court to have submitted a false claim to a public agency (e.g., federal, state, county, city, University of California System, California State University System,) within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?

the Prequalification Questionnaire?
YES NO NO
TERMINATION HISTORY (Pass/Fail Section)
Has bidder (or any member of the entity if a joint venture or partnership) been terminated for cause by an owner after construction commenced within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?
YES NO NO
LIQUIDATED DAMAGES (Pass/Fail Section)
Has bidder (or any member of the entity if a joint venture or partnership) been assessed liquidated damages of more than \$50,000 on a construction contract with either a public or private owner within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?
YES NO

#### 14. CLAIMS HISTORY (Points Section)

Each prospective bidder will be evaluated to determine if the bidder and/or persons or entities associated with the bidder have a history of having unmeritious claims asserted by or on their behalf in litigation or arbitration and/or of having had meritorious design or construction claims asserted against them in litigation or arbitration.

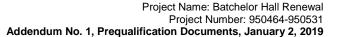
In order to be evaluated, each prospective bidder must complete the Claims History portion of this Prequalification Questionnaire. Based on the information provided, each prospective bidder will be assigned a Claims History score. The maximum possible score is 15. Must score 10 or more points to pass this Section.

**Two (2) points** will be deducted from the total available points for this Section for each qualifying lawsuit or arbitration, commenced within **five (5) calendar years** preceding the deadline for submission of the Prequalification Questionnaire, in which the prospective bidder and/or persons or entities associated with the bidder, had design or construction claims asserted by or on their behalf that were resolved by trial court judgment, arbitration award or settlement calling for receipt of less than 50% of the total amount of claims asserted in the lawsuit or arbitration.

Additionally, **two (2) points** will be deducted from the total available points for this Section for each qualifying lawsuit or arbitration, commenced within **five (5) calendar years** preceding the deadline for submission of the Prequalification Questionnaire, in which the prospective bidder and/or persons or entities associated with the bidder, had design or construction claims asserted against them, that were resolved by trial court judgment, arbitration award or settlement calling for receipt of more than 50% of the total amount of claims asserted in the lawsuit or arbitration.

Any prospective bidder with a **score deduction of 6 or more points** will presumptively be considered not prequalified because the prospective bidder and/or persons or entities associated with the bidder have been a party to three (3) or more lawsuits or arbitrations in which they either asserted, or had asserted on their behalf, unmeritorious design or construction claims or they had meritorious design or construction claims asserted against them.

The presumption may be rebutted if the University determines, after investigating any explanation offered in providing the Claims History, that the prospective bidder and/or persons or entities associated with the bidder





have not been a party to three (3) or more lawsuits or arbitrations in which they either asserted, or had asserted on their behalf, unmeritorious design or construction claims or they had meritorious design or construction claims asserted against them.

If the presumption is not rebutted, the prospective bidder will be deemed to have an unacceptable Claims History, and will not be prequalifed for the Project that is the subject of this prequalification process.

As used herein:

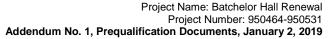
"Lawsuit" means any lawsuit commenced within five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire.

"Arbitration" means any binding arbitration commenced within five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire.

"Claim" means a claim (excluding claims solely for the enforcement of stop notices) arising from design and/or construction work and includes, without limitation, claims for extra compensation and damages (including delay, disruption and acceleration damages, but excluding claims for personal injury or death), and claims for defective design or construction work.

"Pass-Through Claim" has the meaning commonly ascribed to it in the construction industry and also includes (i) any claim that was or is asserted by the prospective bidder, in whole or in part, against an Owner on behalf of a different person or entity; and (ii) any claim that was or is asserted by an Owner against the prospective bidder, and that was subsequently reasserted, in whole or in part, against a different person or entity.

A.	Has bidder failed to be prequalified, in part or in whole, within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire, for failure to provide requested information regarding past litigation or arbitration history?
	YES NO (5 Points)
В.	Has bidder been a party to any lawsuits or arbitrations, within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire, where the total amount of Claims (including Pass-Through Claims) asserted <b>by or on behalf of</b> the entity exceeded \$50,000?
	YES NO (5 Points)
	If "YES," then how many?
	For each such claim, complete a Claim Data Sheet and attach it to this Prequalification Questionnaire. Make Copies of the Claim Data Sheet as needed.
C.	Has bidder been a party to any lawsuits or arbitrations, within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire, where the total amount of Claims (including Pass-Through Claims, and claims for indemnity or contribution) <b>against</b> the entity exceeded \$50,000?
	YES NO (5 Points)
	If "YES," then how many?
	For each such claim, complete a Claim Data Sheet and attach it to this Prequalification Questionnaire. Make Copies of the Claim Data Sheet as needed.





#### CLAIM DATA SHEET No. \_\_

A separate Claim Data Sheet must be prepared for each Lawsuit or Arbitration as required above. If the claims were made against the entity and were resolved for more than 50% of the highest amount sought, state why the claims should not be considered meritorious design or construction claims asserted against the prospective bidder and/or persons or entities associated with the prospective bidder.

	Case Name and Number:  Date Arbitration or Litigation Commenced:						
	Name of Court or Arbitra	ation Service:					
	Location of Court or Arb	itration Service:					
	Address:						
		(Street Address)		(City)		(State & Zip)	
	Project Name:						
	Project or Contract Num	ber:					
	Location:		,		,		
		(Street Address)		(City)		(State & Zip)	
	Owner:						
	Contact Name:		Tel:		Cell:		
	Contact Title:		Email:				
	Did the Owner hold your	contract?  Yes or  No					
		contact information for the entity the	hat held your contrac	t:			
	Company Name:						
	Contact Person:	-	Tel:		Cell:		
			Email:				
	Description of Claims: (A	Attach a separate page if necessary.)					
	Highest Amount Sought	For All Claims: \$					
	Amount Recovered: \$						
	Date of Claim Resolution	:	<u></u>				
	Method of Resolution: (	Check one.)					
	Judgment	Arbitration Award	Settlement				
	☐ Other, desc	cribe:					



#### PREQUALIFICATION DECLARATION

l,	, hereby declare that I am the
	(Printed Name)
of	
(Title)	(Name of Bidder)
submitting this Prequalification Questionnaire	; that I am duly authorized to sign this Prequalification Questionnaire on
behalf of the above named CM/Contractor/Bid	der; and that all information set forth in this Prequalification Questionnaire
and all attachments hereto are, to the best of n	ny knowledge, true, accurate, and complete as of its submission date.
I declare, under penalty of perjury, tha	at the foregoing is true and correct and that this Declaration was
executed in:	
	, in the State of ,
(Name of City if within a City, otherwise Name of Co	unty) (State)
on .	
(Date)	
	(Signature)

If signed by other than the sole proprietor, a general partner, or corporate officer, attach original notarized power of attorney or corporate resolution.

**END OF PREQUALIFICATION QUESTIONNAIRE** 



#### SECTION III - CONSTRUCTION EXPERIENCE. PART B SCORED EVALUATION

(If more space is needed, provide the information your company's letterhead with reference to the project name and attach it to this Questionnaire.)

#### A. COMPLETED CONSTRUCTION PROJECT EXPERIENCE

Entity must have the minimum experience described below. Submit a minimum of four (4) projects but no more than six (6). Do not include projects on which construction is not at least 100% complete. At least one member of the proposed team shall have worked on each of the projects submitted.

Submit proof of the below-listed prior construction experience only on the Construction Experience Information Form contained on the following page(s). Projects presented for consideration must be accompanied by photographs(s) of the project. All projects must have been completed by the bidder in California, within the last seven (10) years.

- 1. At least two (2) projects meeting all of the following criteria:
  - a. Major renovation of a research laboratory or industrial or manufacturing facility or data center, where the facility remained operational in full or in part.
  - b. The construction cost of said project was at least \$16,000,000.00 at time of award.
- 2. At least two (2) projects meeting all of the following criteria:
  - a. Work included the construction delivery as a public works CM at Risk project for which the preconstruction work scope was identified as a separate phase and included:
    - (1) Construction schedules, procurement schedules, cost estimating, cost modeling, constructability review, value engineering, preparation/solicitation of subcontractor bid packages, and quality management program.
    - (2) CM at Risk Project in a fully operational environment (i.e. this could be an academic setting or business setting.) with limited staging areas.
  - b. The construction cost of said project was at least \$16,000,000.00 at time of award.
- 3. At least one (1) project meeting all of the following criteria:
  - a. Bidder prepared a Building Information Modeling (BIM) model for constructability, coordination and clash detection. Bidder organized and implemented the use of the model in its entirety for the life of the project.
  - b. The construction cost of said project was at least \$10,000,000.00 at time of award.
- 4. At least one (1) project meeting all of the following criteria:
  - a. Bidder submitted general contractor (GC) portion of LEED® NC or CI Certification documentation to the U.S. Green Building Council (USGBC).
  - b. The construction cost of said project was at least \$8,000,000.00 at time of award.

#### NOTES:

- 1. "Fully completed" shall be defined as Entity having completed all on-site work, including punch list, and submitted all required close-out documentation to project owner.
- 2. Entity, the licensed entity listed on Page 1 of this Questionnaire, must have been the contractor on each project submitted for consideration. Entity may not use experience performed by a parent company, a sister subsidiary company, or a subsidiary to Entity. Additionally, Entity may not use experience where Entity was one member of a separately licensed joint venture entity.
- 3. If Entity is a joint venture, all construction experience must have been performed by the joint venture entity itself.



#### PROJECT DATA SHEET - COPY AS NEEDED FOR EACH PROJECT SUBMITTED

#### Verify all contacts prior to submittal.

Do not leave any spaces blank.

If not applicable, state "Not Applicable" and explain why. If none, state "NONE."

Project Name:				
Project or Contract Numb	er:			
Project Location:		,		,
Project Delivery Method:	Street Address (CM NOT AT RISK DOES NOT QUALIFY.)	CM at Risk Other, specif	City & State Design-Bid-Build  fy:	
Owner Information:	Owner's Name	Contac	ct Person:	Name & Title
Address:	Owner's Name			Name & Little
/ Idai ess.	Street Address		City & State	Zip Code
Telephone:	Facsimile:		Email:	
Address of <b>Contractor's</b> O	ffice that Performed the Work:			
	Street Address	_ ,	City & State	Zip Code
Contact Person:			Telephone:	
	Name & Title			
Facsimile:	Email:			
Name of <b>Contractor's</b> Pro	ject Manager for project:			
Name of <b>Contractor's</b> Sup	perintendent for project:			
Design Firm:		Contact	Person:	
				Name & Title
Address:	Street Address		City & State	,Zip Code
Telephone:	Facsimile:		Email:	
Name of Design Firm's Pro	oject Manager for project:			
Project Management or Construction				
Management Firm:				
Address:				Name & Title
	Street Address		City & State	Zip Code
Telephone:	Facsimile:		Email:	
Name of Project Manager	for project:			



#### **PROJECT DATA SHEET - CONTINUED**

Contra	ct Time:						
	Ctart D	ata.		Schodulad Com	nlation Data		
	Start D	Month/D	av/Year	Scheduled Com	pietion bate.	Month/Day/Yea	r
	<b>.</b>	·	-11	5		•	
Actual	Completio	n Date:	Month/Day/Yo		Extended due to	Unexcused Dela	ys:
			Wioritii, Day, IV				
Contra	ct Amount	t:					
		\$		\$		\$	
		Base Amount	Adjusti	ment Due to Chan	ge Orders	Final Contract A	Amount
Project	Informati	ion:					
Type of	f Project:	New	Re	emodel/Renovatio	on 🗌		
Type of	f Facility:	Research Lab	Industrial/Man	nufacturing/Data F	acility Pub	olic Works 🗌	Commercial 🗌
A.	Major rer	novation of a research	laboratory or i	ndustrial or manuf	acturing facility,		
		enter where the facilit			-	Yes 🗌	No □
В.	The const award.	truction cost of said p	roject was at lea	ast \$16,000,000.00	at time of		
Α.		luded the constructio	n dolivory as a r	nublic works CM a	t Pick project for		
A.		e preconstruction wo					
	included:		·	•	•		
		truction schedules,	-		_		$\Box$
		eling, constructability bcontractor bid packa				Yes	No 📙
		at Risk Project in a full			_		
	acad	emic setting or busine	ess setting.) with	n limited staging ar	eas.		
В.		truction cost of said pr					
A.	-	epared a Building Info ability, coordination a					
		nted the use of the mo		_		Yes 🗌	No 🗌
В.	•	ruction cost of said pr		•			
Α.	Bidder su	bmitted general conti	ractor (GC) port	ion of LEED® NC or	· CI Certification		
	documen	tation to the U.S. Gre	en Building Cou	ncil (USGBC).		Yes 🗌	No 🗌
В.		ruction cost of said p	roject was at lea	ast \$8,000,000.00 a	at time of award.		
	ect Descrip a brief descr		is nroiect includin	a all tynical condition	ns and elements of t	the project Include	descriptions of any
Provide a brief description with photos of this project including all typical conditions and elements of the project. Include descriptions of any preconstruction services provided and any project design, phasing, scheduling or logistics solutions which your firm contributed to the project.							
		f the firm's key personne contractors (if applicable					
-	al sheets if r		,	, , , , , , , , , , , , , , , , , , , ,	,	<i>y q</i>	



#### SECTION IV - KEY PERSONNEL PROPOSED. PART B SCORED EVALUATION CONTINUED

A.	It is desired that proposed staff members be current employees of the proposing firm. The proposed Senior Project
	Manager and Superintendent submitted will be considered qualified only if they have each successfully completed at
	least three (3) comparable projects within the last ten (10) years, each with a construction cost over \$15,000,000. For
	projects listed on the personnel qualification form the proposed staff member should have filled the same role (PM,
	Superintendent, etc.) as proposed on the Batchelor Hall Renewal project. Projects listed on the personnel qualification
	from should also match the Batchelor Hall Renewal project as closely as possible in project type and complexity.

В.	Prospective CM/Contractor hereby commits to the assignment of the following personnel for the Preconstruction
	phase of the UC Riverside Batchelor Hall Renewal Project:
	Project Executive
	Preconstruction Manager
	Senior Project Manager
	MEP/BIM Coordinator
	Note: The Preconstruction Manager may assume another role during construction.
C.	Prospective CM/Contractor hereby commits to the assignment of the following personnel for the Construction phase of the UC Riverside Batchelor Hall Renewal Project:
	Project Executive
	Senior Project Manager
	Superintendent
	Project Engineer
	MEP/BIM Coordinator

D. Submit a UCR Personnel Qualification Form attached hereto and Resume for each of the personnel named above. Provide current assignments and commitments for each employee listed. Provide contact information of the Owner's representative for each employee's current project. Employees committed to other projects during the anticipated construction period will not be considered. The personnel listed above must be the project team used by the successful bidder for the duration of the project. Changes in project key personnel without prior written consent from the University's Representative will be considered grounds for termination of the Contract. Comparable experience for personnel while employed by other firms may be listed.



**UCR PERSONNEL QUALIFICATIONS FORM** – Complete this form in its entirety. Resumes shall be submitted in addition to this form. **Resumes shall NOT be submitted in lieu of this form**. If more space is needed, provide the information using the format below and attach it to this Questionnaire.

Name of Proposed Employee: Job Title:	_			
Education:				
Institution/School	Degree Reco	eceived Major/Discipline		Year
State Agency/Licensing Body	License Received		Specialty Area	Year
Organization	Certificate Re	eceived	Specialty Area	Year
Project Management Training / Tool List all Project N	ls (i.e. Computer Softwar Management Training / Tool		Years of Ex	xperience
Project Experience: Begin with your most recent experience ulfill the assigned project responsibilities			experience and background	required to
current Firm:		Years of Employment:	through	
Project Name & Location:				\$
Owner:	Contact Name, Title:		Completion Date:	Υ
ype of Facility: Commercial	Industrial	Public Agency	Institutional	
			one Number	<u>.</u>
Project Name & Location:			Contract Amount:	Ś
Owner:	Contact Name, Title:		Completion Date:	Ψ
Type of Facility: Commercial	Industrial	Public Agency	Institutional	
ob Title:	Client	Reference Contact Pho	one Number	<u>.</u>
Responsibilities:				
Other Firm:		Years of Employment:	through	
Project Name & Location:			Contract Amount:	\$
Owner:	Contact Name, Title:		Completion Date:	
ype of Facility: Commercial	Industrial 🗌	Public Agency	Institutional	
lob Title:	Client	Reference Contact Pho	one Number	<u>.</u>
Responsibilities:				
Other Firm:		Years of Employment:	through	
Project Name & Location:			Contract Amount:	\$
Owner:	Contact Name, Title:		Completion Date:	
Type of Facility: Commercial  lob Title:	Industrial Client	Public Agency  Reference Contact Pho	Institutional	
Responsibilities:	Client	nererence contact FIII	one Number	<del>.</del>