

ADDENDUM NO. 2

January 7, 2019

PREQUALIFICATION DOCUMENTS

FOR

**BATCHELOR HALL RENEWAL
PROJECT NO. 950464**



The following changes, additions, or deletions shall be made to the following documents as indicated for this Project; and all other terms and conditions shall remain the same.

1. ADVERTISEMENT PACKAGE FOR PREQUALIFICATION

Replace the existing advertisement package with the one included in this Addendum.

- Cover Page – Revise prequalification deadline
- Advertisement – Revise prequalification deadline
- Section I.F.2 **BACKGROUND & DESCRIPTION** – Revise prequalification deadline
- Section I.G **BACKGROUND & DESCRIPTION** – Revise prequalification deadline
- Section II - **PREQUALIFICATION QUESTIONNAIRE** – Delete Financial Information
- Section III.A. – Construction Experience – Revise project requirements
- Section III.A. – Construction Experience – Revise project data sheet
- Section IV – Key Personnel Proposed – Revise requirements

2. QUESTIONS AND ANSWERS

RFI No.	QUESTIONS / ANSWERS
1-1	<p>Question: Section III, A. 1.b and 2.b: Please consider modifying the construction cost minimum of \$16M to \$10M, as the complexity of projects at \$10M are often more or just as complex as projects \$15-20M in contract value.</p> <p>Answer: See revised Construction Experience Requirements issued in this Addendum.</p>
1-2	<p>Question: Section III, A. 2.a: Please consider adding: “Work included the construction deliver as a public works CM at Risk or Design-Build project for which the ...” Design-Build places the same GMP risk as CM at Risk and should satisfy the intent of the selection criteria for the University.</p> <p>Answer: No.</p>
1-3	<p>Question: Section IV, A: Please consider reducing the number of successfully completed comparable projects to (2), in lieu of (3) in the past 10 years.</p> <p>Answer: See revised Construction Experience Requirements issued in this Addendum.</p>
1-4	<p>Question: Section IV, A: Consider modifying the construction cost minimum of \$15M to \$10M, as the complexity of projects at \$10M are often more or just as complex as projects \$15-20M in contract value.</p> <p>Answer: See revised Construction Experience Requirements issued in this Addendum.</p>
1-5	<p>Question: With regards to Section III construction experience item 2. Can private projects be considered, in addition to the public works projects requested?</p> <p>Answer: No.</p>

1-6	<p>Question: With regards to Section III construction experience item 2. Can public works contracts consisting of several projects be considered for this requirement?</p> <p>Answer: No.</p>
1-7	<p>Question: With regards to Section III construction experience item 4. Can projects with an initial contract value under 8M, be considered for this requirement?</p> <p>Answer: No.</p>
1-8	<p>Question: In regard to Section III – Completed Construction Project Experience; In addition to Public Works CMAR projects, will the University accept Private CMAR projects of similar nature? This includes chemistry, biology, laser, BSL-3, vivariums, and clean room space to support research operations.</p> <p>Answer: So long as the requirements are met we may consider.</p>
1-9	<p>Question: In regard to the Financial Information Form; The current rating system eliminates a number of very qualified GC's. Will the University modify these financial requirements?</p> <p>Answer: See revised Financial Requirements section issued in this Addendum.</p>

END OF ADDENDUM

UNIVERSITY OF CALIFORNIA, RIVERSIDE
BACHELOR HALL RENEWAL PROJECT

ADVERTISEMENT FOR PREQUALIFICATION
for
CONSTRUCTION MANAGER/GENERAL CONTRACTOR AT RISK

PROJECT NO. 950464 & 950531

University of California, Riverside
Planning Design & Construction
1223 University Avenue, Suite 240
Riverside, California, 92521

Advertisement Date: December 14, 2018 – January 2, 2019

LEVEL 1 PREQUALIFICATIONS

Document Issue Date: December 14, 2018
Mandatory Prequalification Conference: January 3, 2019
Notice of Intent Requested by: January 3, 2019
Last Day for Questions: January 3, 2019
Prequalification Questionnaire Due by: **January 17, 2019** ~~January 11, 2019, 2:00 PM~~

LEVEL 2 REQUEST FOR PROPOSAL

LEVEL 2: Bid / Best Value 1st Quarter 2019

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ADVERTISEMENT FOR CM at RISK/GENERAL CONTRACTOR PREQUALIFICATION

Subject to funding approval and conditions prescribed by the University of California, Riverside, responses to University's prequalification documents for a CM at Risk with Best Value contract are sought from prospective CM/Contractor bidders (hereafter "bidders") for the following project:

**BATCHELOR HALL RENEWAL
PROJECT NO. 950464 & 950531
University of California, Riverside**

PREQUALIFICATION OF PROSPECTIVE CM/CONTRACTORS: University has determined that CM/Contractors who submit bids on this Project must be prequalified.

LICENSES: Prequalified bidders must possess a current California contractor's license – General Building License Classification, License Code "B."

DESCRIPTION OF THE WORK:

Batchelor Hall is a ±110,000 square foot laboratory building which was constructed in 1965 to support the University's science programs. The buildings utility infrastructure systems have reached the end of their service lives, and in many cases the systems have become obsolete and exist in poor condition. The Batchelor Hall Renewal project will replace and upgrade the building mechanical, plumbing electrical, conveyance and fire protection systems, and convert several wet laboratories and support spaces to provide instructional laboratories and support spaces.

The building still serves the campus science programs and will need to continue to doing so during the renovation project. The university will retain a contractor, through competitively bidding the Project, to provide Pre-Construction Services specific to Design Quality Assurance, Value Engineering, Occupant Relocation Planning, Project Phasing/Logistics/Scheduling, Cost Estimating and to act as a general contractor to construct the Project as the design is completed. The pre-construction services during design are referred to in the Contract Documents as Phase 1; the construction period is referred to as Phase 2.

PREQUALIFICATION SCHEDULE:

Interested firms may obtain an electronic copy of the Prequalification Documents on Friday, December 14, 2018, at 10:00 AM. To receive a copy of the prequalification Documents, download directly at:

<https://ae.ucr.edu/business/contractors.html>

Prequalification Documents will be available at no charge.

MANDATORY PREQUALIFICATION CONFERENCE:

A mandatory prequalification conference will be held Thursday, January 3, 2019 at 10:00 AM, in Bannockburn Village. Attendees must park in Lot 24. The address for this prequalification conference is:

Bannockburn Village J-102
3637 Canyon Crest Drive
Riverside, CA 92507

Attendees shall be staff members of the CM/Contractor bidder's firm who will be actively involved in responding to this Prequalification, and who are highly knowledgeable of the firm's potential response to the prequalification criteria. Entities intending to respond to our prequalification request for this project are asked to confirm their intent to do so, by emailing a written statement (Notice of Intent statement) to Betty Osuna betty.osuna@ucr.edu by **1:00 PM on Thursday, January 3, 2019; please include the Project name on the subject line of your email.**

The last day for questions will be on **Thursday, January 3, 2019, on or before 1:00 PM.** Only written inquiries will be permitted; forward to Betty Osuna betty.osuna@ucr.edu ; **please include the Project name on the subject line of your email.**

PREQUALIFICATION DEADLINE:

Each Bidder's completed Prequalification Questionnaire and associated documents must be received at the below-listed University Contracts Administration office in a sealed envelope/box on or before: **2:00 PM, on January 17, 2019** ~~January 11, 2019~~. Submit five (5) original copies and one (1) electronic copy on flash media to:

Attn: Contracts Administration, Betty Osuna
UCR Contracts Administration
1223 University Avenue, Suite 240
Riverside, CA 92521

**Include the Project Number and Name on the outside of your envelope/box.*

A map of the Campus is available at: http://parking.ucr.edu/docs/parking_lot_map_web_version.pdf

All information requested in these forms must be provided in order to be considered "responsive" to the requirements of prequalification.

Oral, telephonic, electronic mail (e-mail), facsimile, or telegraphic Prequalification Questionnaires are invalid and will not be accepted. Only University's Prequalification Questionnaire (and associated documents) will be accepted. University reserves the right (but is not obligated) to request, receive, and evaluate supplemental information after the above time and date at its sole determination.

Any person or entity not satisfied with the outcome of the prequalification must file an appeal challenging the outcome within 3 calendar days from the date of the University's written notice regarding prequalification determination. Any assertion that the outcome of the prequalification process was improper will not be a ground for a bid protest.

The dates, times, and location set for receiving and opening of bids will be set forth in an Advertisement for Bids.

The University reserves the right to reject any or all responses to Prequalification Questionnaires and to waive non-material irregularities in any response received.

All information submitted for prequalification evaluation will be considered official information acquired in confidence, and the University will maintain its confidentiality to the extent permitted by law.

Every effort will be made to ensure that all persons have equal access to contracts and other business opportunities with the University within the limits imposed by law or University policy. Each Bidder may be required to show evidence of its equal employment opportunity policy. The successful Bidder and its subcontractors will be required to follow the nondiscrimination requirements set forth in the Bidding Documents and to pay prevailing wage at the location of the work.

The work described in the contract is a public work subject to section 1771 of the California Labor Code.

No contractor or subcontractor, regardless of tier, may be listed on a Bid for, or engage in the performance of, any portion of this project, unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5 and 1771.1.

This project is subject to compliance monitoring and enforcement by the Department of Industrial Relations.

The successful Prequalified Bidder shall pay all persons providing construction services and/or any labor on site, including any University location, no less than the UC Fair Wage (defined as \$13 per hour as of 10/1/15, \$14 per hour as of 10/1/16, and \$15 per hour as of 10/1/17) and shall comply with all applicable federal, state and local working condition requirements.

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
University of California, Riverside

Publication Dates: December 14, 2018 – January 2, 2019

SECTION I. – BACKGROUND & DESCRIPTION



A. Project Background

Batchelor Hall was completed in 1965 and was designed to provide maximum usability and flexibility per design standards current at that time. The four story building frames the northeast corner of a quadrangle formed at the intersection of Eucalyptus and Science walks. Batchelor Hall currently houses the Institute of Integrative Genomic Biology, Department of Botany and Plant Sciences, Life Sciences Graduate Student Advising Office and Student Academic Affairs under the College of Natural and Agricultural Sciences (CNAS).

The building has remained substantially unchanged and currently houses an equipment core which is inefficient, and lacks the recourse necessary for modern research.

B. Project Description

The Batchelor Hall Renewal project is comprised of two separate projects, the Batchelor Hall Renewal and the Batchelor Hall Interiors project. The Batchelor Hall Renewal focuses on replacement of the core building systems and the Batchelor Hall Interiors focuses on the interior improvement aspects of the building. The project scope breakdown is as follows:

Batchelor Hall Renewal

- Heating and cooling systems
- Ventilation systems
- Energy management systems
- Primary and emergency electrical systems
- Fire alarm and protection systems
- De-ionized water and reverse osmosis systems
- Hazardous materials abatement
- Reconfiguration of research laboratory and related spaces

Batchelor Hall Interiors

- Expand restrooms
- Upgrade elevator to meet current code and to maintain service during construction
- Provide laboratory equipment rooms
- Develop telecommunication rooms with new risers
- Install fire sprinkler mains in corridors
- Place standby power panels on each floor
- Complete code upgrades in stairways
- Connect building to standby generator

In order to allow ongoing occupancy and research in the building during construction the project will be broken into approximately four phases as will be determined by the University in working in cooperation with the CMAR with a separate project planning and preparation phase. The University seeks to partner with a construction manager to develop an effective and responsive relocation and phasing plan which will facilitate continued building utilization during construction.

The University has contracted with HDR Architects to develop the construction documents which will be utilized on the project. The construction manager will be introduced to the construction document process at ninety-five percent plan completion of the construction documents or earlier should as plans become available.

The campus' proposed schedule anticipates that construction will commence in spring 2019 with construction completed in spring 2021.

Estimated Anticipated Construction Cost: \$17.5M

C. SCOPE OF CM at RISK WORK

The University is seeking CM/General Contractors who are willing and interested in joining and collaborating with the University and its team of consultants to provide expertise and services to achieve the University's goals for this project. The CM/General Contractor will be allowed to self-perform some trades with University bid process oversight.

The University will retain a contractor, through competitively bidding the Project, to provide Pre-Construction Services during the Project design period and to act as a general contractor to construct the Project as the design is completed. The pre-construction services during design are referred to in the Contract Documents as Phase 1; the construction period is referred to as Phase 2.

Phase 1 – Preconstruction will commence immediately afterward and will continue through start of construction. Phase 2 – Construction is anticipated to begin in summer 2019. The phases will overlap with a phased buy-out.

The following is a brief overview of the services the CM will be required to perform if awarded both the preconstruction phase contract and the construction phase contract:

1. Develop a construction cost estimate and provide continuous cost management to assure the design is within the budgeted cost estimate.
2. Provide construction planning, logistics, phasing, and scheduling during design and through construction completion.
3. Working with University staff, develop an occupant relocation plan in coordination with the project phasing as such to maintain building use and construction in areas not within the current phase.
4. Develop and maintain a Project schedule that incorporates all tasks and approvals of all involved parties necessary to complete the Project within the contract durations.
5. Incorporate LEAN practices and tools into the process.
6. Incorporate integrated project management practices into the Project.
7. Provide overall project quality assurance.
8. Provide cost estimating and value engineering. Reconcile CM cost estimates with Architect's cost estimates at various milestones.
9. Coordinate with and provide information as required to regulatory agencies. Schedule and monitor required agency approvals.
10. Prequalify trade contractors to comply with University's standards.
11. Develop Trade Contractor Bid Packages, competitively bid and receive bids in the most logical, competitive, and seamless manner in accordance with the applicable provisions of the California Public Contract Code and University Policy.
12. Warrant the completeness and constructability of the construction documents and ensure that trade bid packages include a complete scope of work.
13. Manage and administer the Project construction phase to achieve construction completion within the contract time and budget and with high quality workmanship.

D. PREVAILING WAGES

This project will be subject to prevailing wages predetermined by the Department of Industrial Relations (DIR).

E. CM AT RISK SELECTION PROCESS

This Prequalification phase will immediately be followed by the bidding phase, wherein Contractors will be asked to bid their Fees, GC's, OH&P. The RFPs will be evaluated on a Best Value basis, with numbers sealed until after the qualitative portions are evaluated and scored. Qualitative aspects will include the Contractor's proposed project schedule. Final selection will be based on the Best Value method of determining the bidder with the lowest cost per quality point.

F. PREQUALIFICATION SCHEDULE:

1. Mandatory Prequalification Conference – January 3, 2019
2. Prequalification Documents Due – ~~January 11, 2019~~ **January 17, 2019**
3. RFP/Best Value Documents issued to Prequalified CM/Contractors- 1st Quarter 2019*

*Date subject to change

G. PREQUALIFICATION PROCESS

The University will evaluate CM/Contractor qualifications for prequalification (Level 1). The University intends to obtain proposals from the prequalified CM/Contractors under a Best Value process in accordance with State statute (RFP/Bid Phase, Level 2). Prequalification of the CM/Contractor is required for the Best Value process. The evaluation and results of this prequalification will not have any relation to the evaluation and results of the Best Value questionnaire.

The successful CM/Contractor will be responsible for working with the architect to provide preconstruction services including plan review, constructability analysis, budgeting, value engineering analysis, and estimating, bid phase services and related work required to fully buy-out and construct the project as described and specified in the Level 2 Contract Documents.

The successful CM/Contractor will provide both preconstruction and construction services. The Contractor shall hold all required licenses and be the financially responsible entity for bonding and insurance.

To prequalify for the proposal process, a prospective CM/Contractor must meet all of the requirements described in this Prequalification Process. The purpose of the Prequalification Process is to provide the University with sufficient information to determine if a CM/Contractor is “qualified.” The term “qualified” refers to quality, capacity, experience, financial stability, and the ability of the proposed team to satisfactorily perform the work.

The Prequalification Process is as follows:

Questionnaire: Provide all requested information, as applicable, on the questionnaire forms and attachments. Any prospective CM/Contractor failing to do so may be deemed non-responsive with respect to the prequalification process for this project. All information submitted for prequalification evaluation will be considered official information acquired in confidence, and the University will maintain its confidentiality to the extent permitted by law.

Availability of Prequalification Questionnaires:

On December 10, 2018, Prequalification documents will be issued to interested CM/Contractors at:

<https://ae.ucr.edu/business/contractors.html>

Mandatory Prequalification Conference: Submitting firms (CM/Contractors) are required to attend the Mandatory Prequalification Conference scheduled on **January 3, 2019, at 10:00 AM**. CM/Contractor’s failing to sign in on the attendance sheet at the conference will not be eligible to participate in the prequalification process.

Participants shall meet at:

Bannockburn Village J-102
3637 Canyon Crest Drive
Riverside, CA 92507

Submittal Procedures and Deadline: CM/Contractors interested in prequalifying to propose on this project must submit (5) five original hard copies as well as (1) one electronic copy on flash drive of all completed Prequalification Questionnaire forms and attachments. The University is not responsible for any costs that CM/Contractors may incur to complete the prequalification process. All applicable portions of the attached forms shall be completed with attachments if the space provided on the questionnaire is not sufficient. **QUESTIONNAIRES FAILING TO CLEARLY PRESENT ALL OF THE REQUESTED INFORMATION, OR THAT ARE NOT IN THE FORMAT REQUESTED MAY BE CONSIDERED NON RESPONSIVE AND REJECTED ON THAT BASIS.** Each copy of the submittal must be complete and fully responsive to the requirements of the Prequalification documents.

Prequalification Submittals must be submitted at:

UCR Planning, Design & Construction Betty Osuna
1223 University Avenue, Suite 240
Riverside, CA 92521

Submittals must be received at the above address no later than **January 11, 2019 at 2:00 PM**.

Prequalification Submittals must indicate the CM/Contractor's name and address using the following format:

PREQUALIFICATION SUBMITTAL

Company Name and Address:

Project Name: Batchelor Hall Renewal/Batchelor Hall Renewal

Due Date and Time: ~~January 17, 2019~~ January 11, 2019, 2:00 PM

CM/Contractors shall assume full responsibility for timely delivery at the date and time designated for receipt of Prequalification Submittals. **NO PREQUALIFICATION DOCUMENTS WILL BE ACCEPTED AFTER THE DUE DATE AND TIME.**

1. Rating and Evaluation Procedures

Prospective Construction Managers-General Contractors are advised that construction experience and key personnel will be evaluated. To become prequalified to bid, CM/Contractors must demonstrate the CM/Contractor's ability to successfully complete the Batchelor Hall Renewal Project. Rating and evaluation will be a two-part process. Part A will be Pass/Fail evaluation. Part B will be evaluated on a point basis. Only firms passing Part A will be evaluated in part B. The University intends to accept the top 3 ranking submittals, and will reserve the right to select the top 4 to move in to the bid process.

- a. To be prequalified, a prospective CM/Contractor **must**:
- (1) **CONSTRUCTION EXPERIENCE:** Submit projects demonstrating sufficient project experience as CM/Contractor. The projects submitted will be evaluated based on the extent to which they meet the listed criteria.
 - (2) **KEY PERSONNEL:** Demonstrate adequate experience for CM/Contractor Key Personnel. **Proposed staff members must be current employees of the proposing firm.**
 - (3) **LICENSE:** Hold the proper license, current and active.
 - (4) **SURETY:** Submit a notarized statement from the proposed surety(ies) that states:
 - I. Contractor's current available bonding capacity meets or exceeds the minimum capacity described in the Questionnaire.
 - II. Contractor's total bonding capacity.
 - III. Surety(ies) proposed to be used on the project is an admitted surety insurer as defined in the California Code of Civil Procedure Section 995.120.
 - IV. Surety(ies) acknowledges its intent to provide bonding of the Project in the event Contractor is awarded the Project.
 - (5) **INSURANCE:** Submit a written declaration from its insurance agent/broker/carrier stating that the Contractor is able to obtain insurance that meets or exceeds the limits and ratings required for this project. Submit a copy of CM/Contractor's insurance certificate.
 - (6) **ANNUAL REVENUE:** Have average annual revenue of \$57,000,000 for the past three years. Submit audited financial information including annual revenue for the timeframe identified.
 - (7) Submit all requested information that is current, accurate, and complete.
 - (8) Have a permanent office within 100 miles of the project site.
- b. To be prequalified for the CM at Risk RFQ process, a prospective CM/Contractor **must not have**:
- (1) **EXPERIENCE MODIFIER RATE:** An Experience Modification Rate above 0.99 for 5 or more of the past ten years.
 - (2) **SURETY:** A surety completed work on any contract within the past ten years.
 - (3) **CM/CONTRACTOR LICENSE BOARD DISCIPLINARY PROCEEDINGS:** A Contractors State License Board disciplinary action in the past ten years.
 - (4) **FALSE CLAIMS HISTORY:** Submitted a false or fraudulent claim within the past ten years.

- (5) **LABOR CODE VIOLATIONS:** Willful Labor Code violations including, but not limited to, repeated or willful violations of applicable laws and/or regulations pertaining to the payment of prevailing wages or employment of apprentices during the past ten years.

After review of the Prequalification Submittal, the University may, but is under no obligation to, request additional clarifying information. The Submittal must be complete and address all the stated requirements.

The University reserves the right to re-open the CM/Contractor prequalification process if the University determines that there are insufficient prequalified CM/Contractors to support the Proposal process.

CM/Contractors will be notified of their prequalification status after evaluation of the Prequalification Submittals.

Prospective CM/Contractors that do not prequalify as a result of their response to the Questionnaire will receive written notice from the University. Any person or entity not satisfied with the outcome of the prequalification must file an appeal challenging the outcome within 3 calendar days from the date of the University's written notice regarding prequalification determination. Any assertion that the outcome of the prequalification process was improper will not be a ground for a bid protest. The University reserves the right to reject any or all responses to Prequalification documents and any or all proposals and to waive any non-material irregularities in any response or proposal received.

H. PROPOSAL PREPARATION, SUBMITTAL EVALUATION AND CONTRACT AWARD PROCESS

The 3 CM/Contractors whose submittals score the highest points will be prequalified and therefore will be eligible to submit a proposal to construct the project. The University will reserve its right to prequalify the top 4 submittals but is under no obligation to do so. The proposals will be prepared in concurrence with a Best Value questionnaire, the results of which will be factored into the bid results. Additional information and details regarding proposal preparation, submittal and the University's evaluation and award process will be provided to the prequalified CM/Contractors in the Bid documents and the mandatory pre-proposal conference to follow.

Prequalified Contractors from this initial prequalification phase will be announced in an Advertisement for Bids from Prequalified Bidders during the 1st Quarter of 2019. A mandatory pre-bid meeting will be held, location and date TBD, all prequalified bidders are required to attend. Documents for the next phase of this CM at Risk, Best Value bid process are anticipated to be available 1st Quarter of 2019.

The successful proposer will be required to show evidence of the following lines of insurance at the time of award: General Liability, Auto Liability, Worker's Compensation, Errors & Omissions (Professional Liability).

The exact dates, times, and location will be set forth in an announcement to prequalified bidders

END OF SECTION I

the California Public Records Act. Likewise, any decision by the University that any document is subject to disclosure pursuant to the California Public Records Act shall not prevent the University from making a subsequent determination that any document is not subject to disclosure pursuant to the California Public Records Act.

All other information submitted for prequalification evaluation will be considered official information acquired in confidence, and the University will maintain its confidentiality to the extent permitted by law.

As used herein, the terms "prospective bidder" or "bidder" or "contractor" or "entity" mean the same. The terms "prospective bidder" or "bidder" or "contractor" or "entity" mean all entities and individuals who are intended to work as a part of, for, or under the prospective bidder for the Project that is the subject of this prequalification process and includes, without limitation, such entities or individuals who are prime contractors, and if a joint venture, all members of the joint venture. Whenever an individual or entity is referred to, the reference includes the individual or entity and all partners, affiliates, subsidiaries, heirs, executors, administrators, assigns, predecessors and successors in interest of or to the individual or entity. For instance, a reference to a bidder includes the prospective bidder and all partners, affiliates, subsidiaries, heirs, executors, administrators, assigns, insurers, predecessor businesses and successor businesses of the bidder.

WHERE NECESSARY, COPY THE FORMS IN THIS PACKAGE. USE ONLY THESE FORMS. Oral, telephonic, electronic mail (e-mail), facsimile, or telegraphic Prequalification Questionnaires are invalid and will not be accepted.

SUBMIT FIVE (5) ORIGINAL HARDCOPIES AND ONE ELECTRONIC COPY ON A FLASH DRIVE NO LATER THAN THE DEADLINE IN THE ADVERTISEMENT FOR CONTRACTOR PREQUALIFICATION

3. FINANCIAL INFORMATION (~~Points Section~~) *NOT USED*

The University requires that prospective bidders provide the following information regarding their financial condition for the last two fiscal years ended and the current year to date. To verify the following information, each prospective bidder must submit copies of its financial statements, either reviewed or audited in accordance with Generally Accepted Accounting Principles in the United States of America, for the specified periods other than for the latest year to date. **A financial statement that is neither reviewed or audited is not acceptable.**

	<u>Year Ended:</u> <u>2016</u>	<u>Year Ended:</u> <u>2017</u>	<u>Latest Year to Date:</u> <u>2018</u>
Current Assets:	_____	_____	_____
Underbillings ¹ :	_____	_____	_____
Total Assets:	_____	_____	_____
Current Liabilities:	_____	_____	_____
Total Liabilities:	_____	_____	_____
Revenue:	_____	_____	_____
Expenses:	_____	_____	_____
Equity:	_____	_____	_____
Contracts Receivable (C/R) ² :	_____	_____	_____
Cash:	_____	_____	_____
Marketable Securities ³ :	_____	_____	_____

The University will calculate the following ratios for each period. Must score an average of 25 points or more over the three periods to pass. *The University reserves the right to request additional information to make a final determination of the entity's financial status.**

Current Ratio = Current Assets / Current Liabilities =	Greater than 1.5 (10 points) 1.0-1.5 (5 points) Less than 1.0 (0 points)
Debt to Equity = Total Liabilities / Equity =	Less than 2.5 (10 points) 2.5-4.0 (5 points) Greater than 4.0 (0 points)
Days in Receivable = (C/R x 365) / Revenue =	Less than 75 days (10 points) 75-90 days (5 points) Greater than 90 days (0 points)
Acid Test = (Cash + Marketable Securities + C/R) / Current Liabilities =	Greater than 1.5 (10 points) 1.0-1.5 (5 points) Less than 1.0 (0 points)
U/B to Working Capital = Underbillings ¹ / Working Capital = ¹ costs & earnings in excess of billings	Less than 25% (10 points) 25-35% (5 points) Greater than 35% (0 points)

²Include retention.

³Do not include marketable securities held in lieu of retention.

4. SURETY (Pass/Fail Section)

Prospective bidder shall obtain and submit the Surety Declaration in the form shown below, signed by an authorized representative of the surety proposed to be used for this project and notarized.

- A. Is the surety to be used authorized by the Insurance Commissioner to transact business in the State of California as an admitted surety insurer (as defined in the California Code of Civil Procedure Section 995.120)?

YES NO

- B. Is the prospective bidder able to obtain **bonding up to \$17,000,000** for this Project?

YES NO

Bond Capacity:

(1) Provide your maximum bonding limit per project: _____

(2) Provide your aggregate bonding limit: _____

(3) Provide your available bonding capacity: _____

- C. Has any surety paid out any monies on claims on the **payment bond** issued by a surety for the benefit of the owner arising out of the construction activities of the bidder within the last five (5) years from the date of the Prequalification Deadline?

YES NO

- D. Has any surety paid out any monies on claims on the **performance bond** issued by a surety for the benefit of an owner arising out of the construction activities of the bidder within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?

YES NO

E. **Surety Declaration:**

PROVIDE THIS DECLARATION TO YOUR SURETY(IES) FOR COMPLETION. DO NOT HAVE THE SURETY SUBMIT THIS INFORMATION DIRECTLY TO THE UNIVERSITY.

(If bidder has more than one surety, submit a completed form for each.)

The undersigned declares under penalty of perjury that the bonding information indicated above on this Prequalification Questionnaire for _____

(Name of Prospective Bidder)

is true and correct and that this Declaration was executed in

_____, in the State of _____,

(Name of City if within a City, otherwise Name of County)

(State)

on _____.

(Date)

(Signature)

(Name and Title - Printed or Typed)

(Representing [Surety Name])

(Entity Name if Different than Surety Name)

(Street Address)

(City, State, Zip Code)

(Telephone Number)

(Facsimile Number)

(E-mail)

(ATTACH ORIGINAL NOTARIZATION of SURETY REPRESENTATIVE'S SIGNATURE)

5. INSURER (Pass/Fail Section)

Prospective bidder shall obtain and submit the Insurance Declaration in the form shown below, or submit a sample certificate of insurance form from its insurer, or submit a letter that declares the same as the Insurance Declaration, signed by an authorized representative of its insurer on the representative's or insurer's letterhead. (If more than one insurer or insurance representative, submit a completed form or sample certificate of insurance form or letter for each.)

A. Is the bidder able to obtain insurance in the following limits for the required coverages?

YES NO

<u>Commercial Form General Liability Insurance* - Limits of Liability</u>	<u>Minimum Requirement</u>
Each Occurrence - Combined Single Limit for Bodily Injury and Property Damage	\$5,000,000
Products - Completed Operations Aggregate	\$5,000,000
Personal and Advertising Injury	\$1,000,000
General Aggregate	\$2,000,000
 <u>Contractor's Professional Liability – Limits of Liability</u>	
Professional Liability	\$1,000,000
 <u>Business Automobile Liability Insurance* - Limits of Liability</u>	<u>Minimum Requirement</u>
Each Accident - Combined Single Limit for Bodily Injury and Property Damage	\$1,000,000
 <u>Workers' Compensation and Employer's Liability**</u>	<u>Minimum Requirement</u>
Workers' Compensation:	(as required by Federal and State of California law)
Employer's Liability:	
Each Employee	\$1,000,000
Each Accident	\$1,000,000
Each Policy	\$1,000,000

*This insurance must be (i) issued by companies with a Best rating of A- or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's) or (ii) guaranteed, under terms consented to by the University (such consent to not be unreasonably withheld), by companies with a Best rating of A- or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's). Further, the deductible, or retained limit, for each coverage shall not be more than \$100,000.

**This insurance must be issued by companies (i) that have a Best rating of B+ or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's); or (ii) that are acceptable to the University.

B. Insurance Declaration:

PROVIDE THIS DECLARATION TO YOUR INSURANCE CARRIER FOR COMPLETION AND HAVE YOUR CARRIER RETURN THE COMPLETED DECLARATION TO YOU. THE PROSPECTIVE CONTRACTOR MUST SUBMIT THIS DECLARATION TO UNIVERSITY. DO NOT HAVE YOUR CARRIER SUBMIT THIS DECLARATION DIRECTLY TO THE UNIVERSITY

The undersigned declares under penalty of perjury that the below named insurer is currently willing to provide the insurance listed above in Section 5.A. of this Prequalification

Questionnaire for _____
(Name of Prospective Bidder)

and that this Declaration was executed in

_____, in the State of _____,
(Name of City if within a City, otherwise Name of County) (State)

on _____.
(Date)

(Signature)

(Name & Title)

(Insurer Name)

(Street Address)

(City, State & Zip Code)

(Telephone Number)

(Facsimile Number)

(Mobile Number)

(Email)

6. RESPONSIBLE PERFORMANCE ON ALL COMPLETED PROJECTS (Points Section)

Each "NO" is worth 10 points. Must score 70 or more points to pass. At its sole discretion, the University may award 5 points due to mitigating circumstances.

If you answer "YES" to any question, provide an explanation on a separate page and attach it to this Prequalification Questionnaire.

A. Has bidder provided any inaccurate or incorrect information required during prequalification, qualification, bidding, or required by contract documents?

YES NO

B. Is bidder currently debarred from work by a public entity in California?

YES NO If "YES," provide name of public entity: _____

C. Has bidder performed any work without the required contractor's license?

YES NO

D. Is bidder currently the debtor in a bankruptcy case?

YES NO

E. Has bidder used unlicensed or improperly licensed subcontractors?

YES NO

F. Has bidder substituted a subcontractor without an owner's written consent?

YES NO

G. Has bidder failed to adhere to contractually required and agreed-upon schedules?

YES NO

H. Has bidder ever been convicted of a criminal offense in connection with current or past contracts for projects?

YES NO

I. Does bidder have a history of poor past performance of work as evidenced by continued use of defective materials, unauthorized product substitutions, refusal to correct work not in accordance with the contract documents, termination for cause, or repeated failure to provide proper supervision required by the contract documents?

YES NO

J. Has a public entity ever had to issue bidder a unilateral change order because bidder refused to execute a change order and perform the change order work?

YES NO

7. SAFETY PROGRAM (Pass/Fail Section)

A. Has bidder instituted an injury and illness prevention program (IIPP) pursuant to Section 3201.5 or 6401.7 of the Labor Code?

YES NO

If "YES," then attach a copy of the Table of Contents from bidder's IIPP.

B. Will bidder have personnel permanently assigned and dedicated **full-time** to Safety on this Project?

YES NO

If "YES", provide the name(s) and title(s) of the person(s):

Name and Title: _____

If necessary, include additional information on a separate page and attach it to this Prequalification Questionnaire.

C. Has bidder had a serious and willful violation of Part 1 (commencing with Section 6300) of Division 5 of the Labor Code within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?

YES NO

D. Has bidder maintained a Workers' Compensation Experience Modification Rate (EMR) below .99 for (5) five or more of the past ten calendar years preceding the deadline for submission of the Prequalification Questionnaire? (If bidder has been in business for less than five years, then bidder must have maintained a Workers' Compensation EMR that averages below .99 for all years that bidder has been in business.)

YES NO

Year: _____	EMR: _____
Year: _____	EMR: _____
Year: _____	EMR: _____
Year: _____	EMR: _____
Year: _____	EMR: _____
Year: _____	EMR: _____
Year: _____	EMR: _____
Year: _____	EMR: _____
Year: _____	EMR: _____
Year: _____	EMR: _____

Attach verification of EMR from State of California or from bidder's insurance company.

8. QUALITY ASSURANCE/QUALITY CONTROL (QA/QC) PROGRAM (Pass/Fail Section)

A. Does bidder have a written quality assurance/quality control program?

YES NO

If "YES," attach a copy of bidder's QA/QC program.

B. Will bidder have personnel permanently assigned and dedicated **full-time** to QA/QC on this Project?

YES NO

If "YES", provide the name(s) and title(s) of the person(s):

Name and Title: _____

If necessary, include additional information on a separate page and attach it to this Prequalification Questionnaire.

9. LABOR COMPLIANCE (Pass/Fail Section)

A. Has the prospective bidder committed a prevailing wage violation* within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?

YES NO

*Does not include a violation determined to be due to inadvertent or unintentional error by the California Department of Industrial Relations. If a violation due to inadvertent or unintentional error, then on a separate page attached to this Prequalification Questionnaire, identify the violation by providing the project name, date of the violation, name of the entity (or entities), a brief description of the nature of the violation, and a brief description of the status of the violation (e.g., pending, or if resolved, a brief description of the resolution, etc.) for the University's verification.

B. At any time within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire, has the prospective bidder been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

YES NO

10. DISCIPLINARY MEASURES HISTORY (Pass/Fail Section)

Has bidder (or any member of the entity if a joint venture or partnership) been disqualified or otherwise barred from doing business with a public agency (e.g., federal, state, county, city, University of California System, California State University System, school district,) within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?

YES NO

11. FALSE CLAIMS HISTORY (Pass/Fail Section)

Has bidder (or any member of the entity if a joint venture or partnership) been found in a final decision of a court to have submitted a false claim to a public agency (e.g., federal, state, county, city, University of California System, California State University System,) within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?

YES NO

12. TERMINATION HISTORY (Pass/Fail Section)

Has bidder (or any member of the entity if a joint venture or partnership) been terminated for cause by an owner after construction commenced within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?

YES NO

13. LIQUIDATED DAMAGES (Pass/Fail Section)

Has bidder (or any member of the entity if a joint venture or partnership) been assessed liquidated damages of more than **\$50,000** on a construction contract with either a public or private owner within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?

YES NO

14. CLAIMS HISTORY (Points Section)

Each prospective bidder will be evaluated to determine if the bidder and/or persons or entities associated with the bidder have a history of having unmeritorious claims asserted by or on their behalf in litigation or arbitration and/or of having had meritorious design or construction claims asserted against them in litigation or arbitration.

In order to be evaluated, each prospective bidder must complete the Claims History portion of this Prequalification Questionnaire. Based on the information provided, each prospective bidder will be assigned a Claims History score. **The maximum possible score is 15. Must score 10 or more points to pass this Section.**

Two (2) points will be deducted from the total available points for this Section for each qualifying lawsuit or arbitration, commenced within **five (5) calendar years** preceding the deadline for submission of the Prequalification Questionnaire, in which the prospective bidder and/or persons or entities associated with the bidder, had design or construction claims asserted by or on their behalf that were resolved by trial court judgment, arbitration award or settlement calling for receipt of less than 50% of the total amount of claims asserted in the lawsuit or arbitration.

Additionally, **two (2) points** will be deducted from the total available points for this Section for each qualifying lawsuit or arbitration, commenced within **five (5) calendar years** preceding the deadline for submission of the Prequalification Questionnaire, in which the prospective bidder and/or persons or entities associated with the bidder, had design or construction claims asserted against them, that were resolved by trial court judgment, arbitration award or settlement calling for receipt of more than 50% of the total amount of claims asserted in the lawsuit or arbitration.

Any prospective bidder with a **score deduction of 6 or more points** will presumptively be considered not prequalified because the prospective bidder and/or persons or entities associated with the bidder have been a party to three (3) or more lawsuits or arbitrations in which they either asserted, or had asserted on their behalf, unmeritorious design or construction claims or they had meritorious design or construction claims asserted against them.

The presumption may be rebutted if the University determines, after investigating any explanation offered in providing the Claims History, that the prospective bidder and/or persons or entities associated with the bidder have not been a party to three (3) or more lawsuits or arbitrations in which they either asserted, or had asserted on their behalf, unmeritorious design or construction claims or they had meritorious design or construction claims asserted against them.

If the presumption is not rebutted, the prospective bidder will be deemed to have an unacceptable Claims History, and will not be prequalified for the Project that is the subject of this prequalification process.

As used herein:

“Lawsuit” means any lawsuit commenced within five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire.

“Arbitration” means any binding arbitration commenced within five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire.

“Claim” means a claim (excluding claims solely for the enforcement of stop notices) arising from design and/or construction work and includes, without limitation, claims for extra compensation and damages (including delay, disruption and acceleration damages, but excluding claims for personal injury or death), and claims for defective design or construction work.

“Pass-Through Claim” has the meaning commonly ascribed to it in the construction industry and also includes (i) any claim that was or is asserted by the prospective bidder, in whole or in part, against an Owner on behalf of a different person or entity; and (ii) any claim that was or is asserted by an Owner against the prospective bidder, and that was subsequently reasserted, in whole or in part, against a different person or entity.

A. Has bidder failed to be prequalified, in part or in whole, within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire, for failure to provide requested information regarding past litigation or arbitration history?

YES NO (5 Points)

B. Has bidder been a party to any lawsuits or arbitrations, within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire, where the total amount of Claims (including Pass-Through Claims) asserted **by or on behalf of** the entity exceeded \$50,000?

YES NO (5 Points)

If “YES,” then how many? _____

For each such claim, complete a Claim Data Sheet and attach it to this Prequalification Questionnaire. Make Copies of the Claim Data Sheet as needed.

C. Has bidder been a party to any lawsuits or arbitrations, within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire, where the total amount of Claims (including Pass-Through Claims, and claims for indemnity or contribution) **against** the entity exceeded \$50,000?

YES NO (5 Points)

If “YES,” then how many? _____

For each such claim, complete a Claim Data Sheet and attach it to this Prequalification Questionnaire. Make Copies of the Claim Data Sheet as needed.

CLAIM DATA SHEET No. __

A separate Claim Data Sheet must be prepared for each Lawsuit or Arbitration as required above. If the claims were made against the entity and were resolved for more than 50% of the highest amount sought, state why the claims should not be considered meritorious design or construction claims asserted against the prospective bidder and/or persons or entities associated with the prospective bidder.

- 1. Case Name and Number:** _____
Date Arbitration or Litigation Commenced: _____
Name of Court or Arbitration Service: _____
Location of Court or Arbitration Service: _____

Address: _____ , _____ , _____
(Street Address) (City) (State & Zip)

- 2. Project Name:** _____
Project or Contract Number: _____

Location: _____ , _____ , _____
(Street Address) (City) (State & Zip)

- 3. Owner:** _____
Contact Name: _____ **Tel:** _____ **Cell:** _____
Contact Title: _____ **Email:** _____

Did the Owner hold your contract? Yes or No

If "No," then provide the contact information for the entity that held your contract:

Company Name: _____
Contact Person: _____ **Tel:** _____ **Cell:** _____
Email: _____

- 4. Description of Claims:** (Attach a separate page if necessary.)

Highest Amount Sought For All Claims: \$ _____

Amount Recovered: \$ _____

Date of Claim Resolution: _____

Method of Resolution: (Check one.)

Judgment Arbitration Award Settlement

Other, describe: _____

PREQUALIFICATION DECLARATION

I, _____, hereby declare that I am the

(Printed Name)

of _____

(Title)

(Name of Bidder)

submitting this Prequalification Questionnaire; that I am duly authorized to sign this Prequalification Questionnaire on behalf of the above named CM/Contractor/Bidder; and that all information set forth in this Prequalification Questionnaire and all attachments hereto are, to the best of my knowledge, true, accurate, and complete as of its submission date.

I declare, under penalty of perjury, that the foregoing is true and correct and that this Declaration was executed in:

_____, in the State of _____,

(Name of City if within a City, otherwise Name of County)

(State)

on _____.

(Date)

(Signature)

If signed by other than the sole proprietor, a general partner, or corporate officer, attach original notarized power of attorney or corporate resolution.

END OF PREQUALIFICATION QUESTIONNAIRE

SECTION III - CONSTRUCTION EXPERIENCE. PART B SCORED EVALUATION

(If more space is needed, provide the information your company's letterhead with reference to the project name and attach it to this Questionnaire.)

A. COMPLETED CONSTRUCTION PROJECT EXPERIENCE

Entity must have the minimum experience described below. Submit a minimum of **three (3)** ~~four (4)~~ projects but no more than **five (5)** ~~six (6)~~. Do not include projects on which construction is not at least **85%** ~~100%~~ complete **(but a minimum of two that are 100% complete)**. At least one member of the proposed team shall have worked on each of the projects submitted.

*Submit proof of the below-listed prior construction experience only on the Construction Experience Information Form contained on the following page(s). Projects presented for consideration must be accompanied by photographs(s) of the project. All projects must have been completed by the bidder in California, within the last **ten** ~~seven~~ (10) years.*

1. At least two (2) projects meeting all of the following criteria:
 - a. Major renovation of a research laboratory or industrial or manufacturing facility or data center, where the facility remained operational in full or in part.
 - b. The construction cost of said project was at least **\$10,000,000** ~~\$16,000,000.00~~ at time of award.

2. At least two (2) projects meeting all of the following criteria:
 - a. Work included the construction delivery as a public works CM at Risk project for which the preconstruction work scope was identified as a separate phase and included:
 - (1) Construction schedules, procurement schedules, cost estimating, cost modeling, constructability review, value engineering, preparation/solicitation of subcontractor bid packages, and quality management program.
 - (2) CM at Risk Project in a fully operational environment (i.e. this could be an academic setting or business setting.) with limited staging areas.
 - b. The construction cost of said project was at least **\$10,000,000** ~~\$16,000,000.00~~ at time of award.

3. At least one (1) project meeting all of the following criteria:
 - a. Bidder prepared a Building Information Modeling (BIM) model for constructability, coordination and clash detection. Bidder organized and implemented the use of the model in its entirety for the life of the project.
 - b. The construction cost of said project was at least \$10,000,000.00 at time of award.

4. At least one (1) project meeting all of the following criteria:
 - a. Bidder submitted general contractor (GC) portion of LEED® NC or CI Certification documentation to the U.S. Green Building Council (USGBC).
 - b. The construction cost of said project was at least \$8,000,000.00 at time of award.

NOTES:

1. "Fully completed" shall be defined as Entity having completed all on-site work, including punch list, and submitted all required close-out documentation to project owner.
2. Entity, the licensed entity listed on Page 1 of this Questionnaire, must have been the contractor on each project submitted for consideration. Entity may not use experience performed by a parent company, a sister subsidiary company, or a subsidiary to Entity. Additionally, Entity may not use experience where Entity was one member of a separately licensed joint venture entity.
3. If Entity is a joint venture, all construction experience must have been performed by the joint venture entity itself.

PROJECT DATA SHEET - COPY AS NEEDED FOR EACH PROJECT SUBMITTED

Verify all contacts prior to submittal.

Do not leave any spaces blank.

If not applicable, state "Not Applicable" and explain why. If none, state "NONE."

Project Name: _____		
Project or Contract Number: _____		
Project Location: _____ , _____ , _____		
Project Delivery Method: (CM NOT AT RISK DOES NOT QUALIFY.)	<input type="checkbox"/> CM at Risk	<input type="checkbox"/> Design-Bid-Build
	<input type="checkbox"/> Design-Build	<input type="checkbox"/> Other, specify: _____
Owner Information: _____	Contact Person: _____	
Owner's Name	Name & Title	
Address: _____ , _____ , _____		
Street Address	City & State	Zip Code
Telephone: _____	Facsimile: _____	Email: _____
Address of Contractor's Office that Performed the Work:		
_____ , _____ , _____		
Street Address	City & State	Zip Code
Contact Person: _____	Telephone: _____	
Name & Title		
Facsimile: _____	Email: _____	
Name of Contractor's Project Manager for project: _____		
Name of Contractor's Superintendent for project: _____		
Design Firm: _____ Contact Person: _____		
	Name & Title	
Address: _____ , _____ , _____		
Street Address	City & State	Zip Code
Telephone: _____	Facsimile: _____	Email: _____
Name of Design Firm's Project Manager for project: _____		
Project Management or Construction Management Firm: _____ Contact Person: _____		
	Name & Title	
Address: _____ , _____ , _____		
Street Address	City & State	Zip Code
Telephone: _____	Facsimile: _____	Email: _____
Name of Project Manager for project: _____		

PROJECT DATA SHEET - CONTINUED

Contract Time:		
Start Date: _____	Scheduled Completion Date: _____	
Month/Day/Year	Month/Day/Year	
Actual Completion Date: _____	Days Extended due to Unexcused Delays: _____	
Month/Day/Year		
Contract Amount:		
\$ _____	\$ _____	\$ _____
Base Amount	Adjustment Due to Change Orders	Final Contract Amount
Project Information:		
Type of Project: New <input type="checkbox"/> Remodel/Renovation <input type="checkbox"/>		
Type of Facility: Research Lab <input type="checkbox"/> Industrial/Manufacturing/Data Facility <input type="checkbox"/> Public Works <input type="checkbox"/> Commercial <input type="checkbox"/>		
A. Major renovation of a research laboratory or industrial or manufacturing facility, or data center where the facility remained operational in full or in part.	Yes <input type="checkbox"/>	No <input type="checkbox"/>
B. The construction cost of said project was at least \$10,000,000 <u>\$16,000,000.00</u> at time of award.		
A. Work included the construction delivery as a public works CM at Risk project for which the preconstruction work scope was identified as a separate phase and included: (1) Construction schedules, procurement schedules, cost estimating, cost modeling, constructability review, value engineering, preparation/solicitation of subcontractor bid packages, and quality management program. (2) CM at Risk Project in a fully operational environment (i.e. this could be an academic setting or business setting.) with limited staging areas.	Yes <input type="checkbox"/>	No <input type="checkbox"/>
B. The construction cost of said project was at least \$10,000,000 <u>\$16,000,000.00</u> at time of award.		
A. Bidder prepared a Building Information Modeling (BIM) model for constructability, coordination and clash detection. Bidder organized and implemented the use of the model in its entirety for the life of the project.	Yes <input type="checkbox"/>	No <input type="checkbox"/>
B. The construction cost of said project was at least \$10,000,000.00 at time of award.		
A. Bidder submitted general contractor (GC) portion of LEED® NC or CI Certification documentation to the U.S. Green Building Council (USGBC).	Yes <input type="checkbox"/>	No <input type="checkbox"/>
B. The construction cost of said project was at least \$8,000,000.00 at time of award.		
Project Description:		
Provide a brief description with photos of this project including all typical conditions and elements of the project. Include descriptions of any preconstruction services provided and any project design, phasing, scheduling or logistics solutions which your firm contributed to the project. Include the names of the firm's key personnel (project executive, project managers, project superintendent, etc. Briefly describe design-build or design-assist subcontractors (if applicable) including their scope and any other information pertaining to questions A through F above. Use additional sheets if necessary.		

SECTION IV – KEY PERSONNEL PROPOSED. PART B SCORED EVALUATION CONTINUED

A. It is desired that proposed staff members be current employees of the proposing firm. The proposed Senior Project Manager and Superintendent submitted will be considered qualified only if they have each successfully completed at least ~~three (3)~~ **two (2)** comparable projects within the last ten (10) years, each with a construction cost over ~~\$15,000,000~~ **\$10,000,000**. For projects listed on the personnel qualification form the proposed staff member should have filled the same role (PM, Superintendent, etc.) as proposed on the Batchelor Hall Renewal project. Projects listed on the personnel qualification form should also match the Batchelor Hall Renewal project as closely as possible in project type and complexity.

B. Prospective CM/Contractor hereby commits to the assignment of the following personnel for the Preconstruction phase of the UC Riverside Batchelor Hall Renewal Project:

- Project Executive _____
- Preconstruction Manager _____
- Senior Project Manager _____
- MEP/BIM Coordinator _____

Note: The Preconstruction Manager may assume another role during construction.

C. Prospective CM/Contractor hereby commits to the assignment of the following personnel for the Construction phase of the UC Riverside Batchelor Hall Renewal Project:

- Project Executive _____
- Senior Project Manager _____
- Superintendent _____
- Project Engineer _____
- MEP/BIM Coordinator _____

D. Submit a UCR Personnel Qualification Form attached hereto and Resume for each of the personnel named above. Provide current assignments and commitments for each employee listed. Provide contact information of the Owner’s representative for each employee’s current project. **Employees committed to other projects during the anticipated construction period will not be considered. The personnel listed above must be the project team used by the successful bidder for the duration of the project.** Changes in project key personnel without prior written consent from the University’s Representative will be considered grounds for termination of the Contract. Comparable experience for personnel while employed by other firms may be listed.

UCR PERSONNEL QUALIFICATIONS FORM – Complete this form in its entirety. Resumes shall be submitted in addition to this form. Resumes shall **NOT** be submitted in lieu of this form. If more space is needed, provide the information using the format below and attach it to this Questionnaire.

Name of Proposed Employee: _____

Job Title: _____

Education:

Institution/School	Degree Received	Major/Discipline	Year
_____	_____	_____	_____
State Agency/Licensing Body	License Received	Specialty Area	Year
_____	_____	_____	_____
Organization	Certificate Received	Specialty Area	Year
_____	_____	_____	_____

Project Management Training / Tools (i.e. Computer Software Applications):

List all Project Management Training / Tools	Years of Experience
_____	_____
_____	_____

Project Experience:

Begin with your most recent experience. List all project experience that demonstrates the experience and background required to fulfill the assigned project responsibilities for the Batchelor Hall project.

Current Firm: _____ Years of Employment: _____ through _____
 Project Name & Location: _____ Contract Amount: _____ \$
 Owner: _____ Contact Name, Title: _____ Completion Date: _____
 Type of Facility: Commercial Industrial Public Agency Institutional
 Job Title: _____ Client Reference Contact Phone Number _____
 Responsibilities: _____

Project Name & Location: _____ Contract Amount: _____ \$
 Owner: _____ Contact Name, Title: _____ Completion Date: _____
 Type of Facility: Commercial Industrial Public Agency Institutional
 Job Title: _____ Client Reference Contact Phone Number _____
 Responsibilities: _____

Other Firm: _____ Years of Employment: _____ through _____
 Project Name & Location: _____ Contract Amount: _____ \$
 Owner: _____ Contact Name, Title: _____ Completion Date: _____
 Type of Facility: Commercial Industrial Public Agency Institutional
 Job Title: _____ Client Reference Contact Phone Number _____
 Responsibilities: _____

Other Firm: _____ Years of Employment: _____ through _____
 Project Name & Location: _____ Contract Amount: _____ \$
 Owner: _____ Contact Name, Title: _____ Completion Date: _____
 Type of Facility: Commercial Industrial Public Agency Institutional
 Job Title: _____ Client Reference Contact Phone Number _____
 Responsibilities: _____