

Contract Number: 950599-LF-2022-84

PREQUALIFICATION QUESTIONNAIRE

(March 3, 2022)

For

SPIETH HALL ROOF REPLACEMENT AND MECHANICAL UPGRADE

PROJECT NO. 950599 CONTRACT NO. 950599-LF-2022-84 UNIVERSITY OF CALIFORNIA, RIVERSIDE

SUBMITTED BY:		
-	(Bidder N	Name. If a Joint Venture, state name of JV Entity)
	Type of Organization:	☐ Sole Proprietor/Individual ☐ Partnership
		☐ Joint Venture
		☐ Corporation
		(State of Incorporation)
		(Contact Name & Title)
	_	(Street Address)
		(City, State, Zip Code)
	(Telephone Number)	(Facsimile Number)
	-	(E-mail)

Each prospective bidder must answer all of the following questions and provide all requested information. Any prospective bidder failing to do so will be deemed not responsive and not prequalified with respect to this Prequalification at the University's sole discretion. All bidders that submit a Prequalification Questionnaire will be notified in writing of whether or not they have successfully achieved Prequalification status.

Prospective bidders that correctly respond to all questions that require a specific "YES" or "NO" response to prequalify per the Prequalification Questionnaire Evaluation form, submit all required information and supporting data, obtain the total requisite number of points per response as required AND are determined to have accurately and truthfully responded to the questions will be prequalified. Only those bidders that have been determined to be prequalified will be eligible to submit a bid for the Project.

If a prospective bidder is determined by the University not to be prequalified, the prospective bidder may request a review by the University of California, Riverside. If any person or entity is not satisfied with the outcome of the prequalification, such person or entity may file an objection with the University of California, Riverside. Any such a request or objection must be submitted in writing and received by the University of California, Riverside, at the address for receipt of Prequalification Questionnaires listed on the Advertisement for Contractor Prequalification no later than 3 calendar days after the date of the University's written notice regarding prequalification determination. The decision resulting from such review is final and is not appealable within the University of California. Any assertion that the outcome of the prequalification process was improper will not be grounds for a bid protest.

All information submitted for prequalification evaluation in response to Sections 3 and 16 and marked as "confidential" will be considered official information acquired in confidence, and the University of California will maintain its confidentiality unless (1) the University determines that it is required to release the information to a third party pursuant to the requirements of the California Public Records Act or (2) the University is required by court order to release the information to a third party pursuant to the requirements of the California Public Records Act. In the event that the University receives a request pursuant to the California Public Records Act and the University determines that it is required to disclose information marked "confidential" by the provisions of the California Public Records Act, the University will notify the



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prospective bidder of the pending disclosure at least 72 hours prior to such disclosure so that the prospective bidder may seek a restraining order in advance of such disclosure. The University shall err on the side of transparency and will generally treat information provided by the prospective bidder that is not marked "confidential" as subject to disclosure pursuant to the California Public Records Act. Likewise, any decision by the University that any document is subject to disclosure pursuant to the California Public Records Act shall not prevent the University from making a subsequent determination that any document is not subject to disclosure pursuant to the California Public Records Act.

All other information submitted for prequalification evaluation will be considered official information acquired in confidence, and the University will maintain its confidentiality to the extent permitted by law.

As used herein, the terms "prospective bidder" or "bidder" or "contractor" or "entity" mean the same. The terms "prospective bidder" or "contractor" or "entity" mean all entities and individuals who are intended to work as a part of, for, or under the prospective bidder for the Project that is the subject of this prequalification process and includes, without limitation, such entities or individuals who are prime contractors, and if a joint venture, all members of the joint venture. Whenever an individual or entity is referred to, the reference includes the individual or entity and all partners, affiliates, subsidiaries, heirs, executors, administrators, assigns, predecessors and successors in interest of or to the individual or entity. For instance, a reference to a bidder includes the prospective bidder and all partners, affiliates, subsidiaries, heirs, executors, administrators, assigns, insurers, predecessor businesses and successor businesses of the bidder.

WHERE NECESSARY, COPY THE FORMS IN THIS PACKAGE. USE ONLY THESE FORMS. Oral, telephonic, electronic mail (e-mail), facsimile, or telegraphic Prequalification Questionnaires are invalid and will not be accepted.

SUBMIT ONE (1) ORIGINAL ELECTRONIC VERSION THROUGH A LINK PROVIDED BY EMAILING MARY.RAMIREZ@UCR.EDU NO LATER THAN THE DEADLINE IN THE ADVERTISEMENT FOR CONTRACTOR PREQUALIFICATION. EMAIL MUST INCLUDE PROJET NUMBER AND NAME, WITH "REQUEST FOR UPLOAD LINK" IN THE SUBJECT LINE. SUBMITTALS SENT VIA EMAIL WILL NOT BE ACCEPTED, WE CANNOT GUARANTEE THAT OUR SERVERS WILL ALLOW RECEIPT OF SUCH LARGE FILES.



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1. SURVEY (Information Only)

	How did you hear about the prequalification for this Project?				
		Press Enterprise [McGraw Hill ("Greensheet") [Reed	UCR Website Other:		
2.	LICEN	NSE(S) (Pass/Fail Section)			
	A.	Do you have the following State of Californ the California Contractor's State License Bo	nia contractor's license current and active with pard?		
		License Classification & Code: B, General	Contracting		
		YES NO NO			
		holder of the requisite license. If the prospect must hold the license and satisfy all	this Prequalification Questionnaire must be the ctive bidder is a Joint Venture, the Joint Venture of the requirements in this Prequalification ans that the joint venture must have completed ection 6 while the joint venture.)		
	B.	If "YES," provide the following information a	about the contractor's license:		
		(1) Name of license holder exactly as on the License Board:	file with the California Contractor's State		
		(2) License Number:			
			(4) Expiration Date:		
			(6) Expiration Date:		
	C.		ended or revoked by the California Contractor's 5) calendar years preceding the deadline for nnaire?		
		YES NO NO			

3. NOT USED



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4. SURETY (Pass/Fail Section)

Prospective bidder shall obtain and submit the Surety Declaration in the form shown below, signed by an authorized representative of the surety proposed to be used for this project and notarized.

A.	Is the surety to be used authorized by the Insurance Commissioner to transact busine the State of California as an admitted surety insurer (as defined in the California Co Civil Procedure Section 995.120)?			
	YES 🗌	NO 🗆		
B.	Is the prospect	ive bidder able to obtain bonding up to \$2,000,000.00 for this Project?		
	YES 🗌	NO 🗆		
	Bond Capacity	y :		
	(1) Provide	your maximum bonding limit per project:		
	(2) Provide	your aggregate bonding limit:		
	(3) Provide	your available bonding capacity:		
C.	for the benefit of	paid out any monies on claims on the payment bond issued by a surety of the owner arising out of the construction activities of the bidder within the ars from the date of the Prequalification Deadline?		
	YES 🗌	NO 🗆		
D.	surety for the within the last	y paid out any monies on claims on the performance bond issued by a benefit of an owner arising out of the construction activities of the bidder five (5) calendar years preceding the deadline for submission of the a Questionnaire?		
	YES 🗌	NO 🗆		



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E. Surety Declaration:

PROVIDE THIS DECLARATION TO YOUR SURETY(IES) FOR COMPLETION. DO NOT HAVE THE SURETY SUBMIT THIS INFORMATION DIRECTLY TO THE UNIVERSITY.

(If bidder has more than one surety, submit a completed form for each.) The undersigned declares under penalty of perjury that the bonding information indicated above on this Prequalification Questionnaire for (Name of Prospective Bidder) is true and correct and that this Declaration was executed in ___ , in the State of _____ (Name of City if within a City, otherwise Name of County) (Date) (Signature) (Name and Title - Printed or Typed) (Representing [Surety Name]) (Entity Name if Different than Surety Name) (Street Address) (City, State, Zip Code) (Telephone Number) (Facsimile Number)

(ATTACH ORIGINAL NOTARIZATION of SURETY REPRESENTATIVE'S SIGNATURE)

(E-mail)



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5. INSURER (Pass/Fail Section)

Prospective bidder shall obtain and submit the Insurance Declaration in the form shown below, or submit a sample certificate of insurance form from its insurer, or submit a letter that declares the same as the Insurance Declaration, signed by an authorized representative of its insurer on the representative's or insurer's letterhead. (If more than one insurer or insurance representative, submit a completed form or sample certificate of insurance form or letter for each.)

Is the bidder able to obtain insurance in the following limits for the required coverages?				
YES NO NO				
Commercial Form General Liability Insurance* - Limits of Liability Each Occurrence - Combined Single Limit for Bodily Injury and	Minimum Requirement			
Property Damage	\$1,000,000			
Products - Completed Operations Aggregate	\$1,000,000			
Personal and Advertising Injury	\$1,000,000			
General Aggregate	\$2,000,000			
Business Automobile Liability Insurance* - Limits of Liability Each Accident - Combined Single Limit for Bodily Injury and Property	Minimum Requirement			
Damage	\$1,000,000			
Workers' Compensation and Employer's Liability** Workers' Compensation:	Minimum Requirement (as required by Federal and State of California law)			
Employer's Liability: Each Employee Each Accident Each Policy	\$1,000,000 \$1,000,000 \$1,000,000			

^{*}This insurance must be (i) issued by companies with a Best rating of A- or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's) or (ii) guaranteed, under terms consented to by the University (such consent to not be unreasonably withheld), by companies with a Best rating of A- or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's). Further, the deductible, or retained limit, for each coverage shall not be more than \$100,000.

^{**}This insurance must be issued by companies (i) that have a Best rating of B+ or better, and a financial classification of VIII or better (or an equivalent rating by Standard & Poor or Moody's); or (ii) that are acceptable to the University.



(Email)

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B. Insurance Declaration:

PROVIDE THIS DECLARATION TO YOUR INSURANCE CARRIER FOR COMPLETION AND HAVE YOUR CARRIER RETURN THE COMPLETED DECLARATION TO YOU. THE PROSPECTIVE CONTRACTOR MUST SUBMIT THIS DECLARATION TO UNIVERSITY. DO NOT HAVE YOUR CARRIER SUBMIT THIS DECLARATION DIRECTLY TO THE UNIVERSITY

(Mobile Number)



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6. CONSTRUCTION EXPERIENCE (Pass/Fail Section)

A.	Does the prosp	ective bidder have the construction experience required below?
	YES 🗌	NO 🗆

- 1. Has completed, on or after **February 1, 2012**, at least **5 projects (private or public)** in California:
 - Acting as the **prime or subcontractor** during the construction phase on the project;
 - With an initial construction contract (prime or subcontract) award of \$1,000,000.00 or more:
 - c. Where the construction involved all of the following: roof demolition of more than 10,000 SF, new roof installation in excess of 10,000 SF, HVAC replacement of 2 or more roof-top units, as required in the attached Project Data Sheets;
 - d. Where the construction work occurred in an occupied laboratory building;
 - That satisfies the Staff Rating requirements in the attached Project Data Sheets;
 and
 - f. That satisfies the Responsible Performance requirements in the attached Project Data Sheets.

AND

- Has completed, on or after February 1, 2012, at least 2 public works project(s) in California:
 - a. Acting as the **prime or subcontractor** contractor during the construction phase on the project;
 - With an initial construction contract (prime or subcontract) award of \$1,000,000.00 or more;
 - Where the construction work occurred in an occupied facility surrounded by continuous pedestrian and vehicular traffic during normal business hours;
 - d. That satisfies the Superintendent requirements in the attached Project Data Sheets: and
 - e. That satisfies the Responsible Performance requirements in the attached Project Data Sheets.

Complete and submit the attached Project Data Sheets as evidence that your construction experience satisfies the above requirements. A PROJECT MAY BE USED TO SATISFY MORE THAN ONE REQUIREMENT.

The term "completed" as used above in this Section means that (1) all work has been completed per your contract and (2) the improvement/facility has been approved and/or accepted (i.e., passed final inspection) by the owner.

NOTE THAT PROJECT REFERENCES WILL BE CONTACTED FOR VERIFICATION OF THE INFORMATION REPORTED ON THE PROJECT DATA SHEETS. IN CASE OF CONFLICT BETWEEN THE INFORMATION REPORTED BY THE PROSPECTIVE BIDDER AND THE INFORMATION PROVIDED BY THE REFERENCE, THE INFORMATION PROVIDED BY REFERENCE SHALL TAKE PRECEDENCE AND AMBIGUITIES SHALL BE RESOLVED AGAINST THE PROSPECTIVE BIDDER.

B. A bidder wishing to use a predecessor business to satisfy prequalification requirements must demonstrate with written information submitted with this Prequalification Questionnaire that it is substantially the same organization (in terms of who is managing the prospective bidder) as the predecessor business. A bidder may meet the requirement of the preceding sentence by demonstrating that the same person is the qualifying individual (under California Contractor's License Law) for:



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- (1) Contractor's license of contractor which shall be the same type as the license required for the contract; and
- (2) Contractor's license of predecessor business which shall also be the same type as the license required for the contract.

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PROJECT DATA SHEET NO. 1

Owner: Contact Name:	Project Nan	16:			
Contact Name:	Location:	(Street Address)	,	Dity) , .	(State & Zip)
Contact Title:	Owner:				
Owner was	Contact Nar	ne:	Tel:	Cell:	
Owner was	Contact Title	:	Email:		
If "No," then provide the contact information for the entity that held your contract: Company Name: Contact Person: Tel: Email: Design Professional of Record: Address: (Street Address) Tel: Cell: Email: Tel: Cell: Inspector of Record: Inspector of Record: Your Regional Office Information: State the license number and license classification(s) under which you completed the work: Is this same person that you are proposing to be the superintendent on the University's project? Yes or No Provide the name of your LEED AP assigned to the work: Is this same person that you are proposing to be the project manager on the University's project? Yes or No Provide the name of your LEED AP assigned to the work: Is this same person that you are proposing to be the LEED AP on the University's project? Yes or No Provide the name of your LEED AP assigned to the work:	Owner was	☐ a private entity ☐ Co	alifornia State University /:		
City Collicity	If "No," then	provide the contact information for the en			
City Collicity	Contac	Person:	Tel:	Cell:	
Address: (Street Address) Tel: Cell: Inspector of Record: Address: (Street Address) (City) (State & Zite &					
(Street Address) Tel: Cell: Your Regional Office Information: State the license number and license classification(s) under which you completed the work: Provide the name of your superintendent assigned to the work: Is this same person that you are proposing to be the superintendent on the University's project? Yes or No Provide the name of your project manager assigned to the work: Is this same person that you are proposing to be the project manager on the University's project? Yes or No Provide the name of your LEED AP assigned to the work: Is this same person that you are proposing to be the LEED AP on the University's project? Yes or No Provide the address for the office that directly managed the work:	_				
Your Regional Office Information: State the license number and license classification(s) under which you completed the work: Provide the name of your superintendent assigned to the work: Is this same person that you are proposing to be the superintendent on the University's project? Yes or No Provide the name of your project manager assigned to the work: Is this same person that you are proposing to be the project manager on the University's project? Yes or No Provide the name of your LEED AP assigned to the work: Is this same person that you are proposing to be the LEED AP on the University's project? Yes or No Provide the address for the office that directly managed the work:	Address: _	(2)	·	· .	(0) 1 0 7
Your Regional Office Information: State the license number and license classification(s) under which you completed the work: Provide the name of your superintendent assigned to the work: Is this same person that you are proposing to be the superintendent on the University's project?	Email:	,			
(Street Address) , (City) , (State 9.7)	State the lice Provide the Is this same Provide the Is this same Provide the Is this same	ense number and license classification(s) name of your superintendent assigned to person that you are proposing to be the s name of your project manager assigned to person that you are proposing to be the p name of your LEED AP assigned to the w person that you are proposing to be the l	the work: superintendent on the University's the work: oroject manager on the University's	sity's project?	es or No
		(Street Address)	,,		(State & Zip



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Ь.	Project	Delivery Method: (CM NOT A)	I KISK DOES NOT Q	UALIFY.)			
	Project D	Pelivery Method: Check one:	☐ CM at Risk☐ Other, specify:	☐ Design-Bid-Bu	ild 🗌 Des	ign-Build	
7.	Schedul	e: Original Start D	ate:		Actual Start Da	te:	
		Original Completion D			Completion Da	te:	
			ons:				
	Nu	umber of calendar days extensi	on due to conflicts in	construction docu	ıments:		
	N	umber of calendar days extensi	on due to unforeseen	conditions:	_		
	N	ımber of calendar days extensi	on due to design erro	rs/omissions:	_		
		Number of calendar days extension due to regulatory agency requirements:					
	N	umber of calendar days extension	on due to owner- initi	ated changes:	_		
		☐ On a separate page, provide an explanation for each time extension due to prospective bidder or not					
	ot	herwise included above and a	attach it to this Prequa	lification Questionn	aire.		
В.	Contrac	t Amount:					
-	33111110		ontract Award \$				
		•			Number of Cha	nge Orders:	
		Final Co	ntract Amount \$				
	Ar	nount of Change Orders due to	conflicts in constru	ction documents:	\$		
	Ar	nount of Change Orders due to	unforeseen condition	ons:	\$		
	Ar	nount of Change Orders due to	design errors/omiss	sions:	\$		
	Ar	nount of Change Orders due to	regulatory agency r	equirements:	\$		
	Ar	nount of Change Orders due to	owner-initiated char	nges:	\$		
9.a.	Drojoct !	Description:					
,.a.	•	<u>.</u>	tion work acting as the	general contractor	N Ves or □	No	
	` ,	(1) Did you complete the construction work acting as the general contractor? ☐ Yes or ☐ No(2) Did you complete your construction work acting as the subcontractor? ☐ Yes or ☐ No					
		Construction Type:	Chon work acting as th	e subcontractor?	1 162 OI 140	'	
	` ,	Describe your entire Scope-of- separate page if necessary.	Work related to the Pr	oject for which you	are applying to	prequalify. Attach	



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9.b.	Construction Experience: (Construction Experience Requirement 6.A.1.)
	(1) The project involved: (Check all that apply. Each is worth 10 Points. 30 Points required to pass.)
	☐ Roof demolition of 10,000 SF ☐ New roof installation in excess of 10,000 SF ☐ HVAC Replacement of 2 or more roof-top units
	(2) Did the project involve construction work in an occupied laboratory building? (Pass/Fail)
	☐ Yes, was in an occupied laboratory building ☐ No
	If "YES," then in the space below, describe the adjacency and/or pedestrian and traffic conditions, proximity to the construction work, and the measures that you took to mitigate risk of harm to pedestrians and vehicles. Attach a separate sheet if necessary.
10.	Staff Rating – Construction: (Must score at least 35 points to pass.)
	(1) The originally assigned project manager remained assigned and involved with the project for the following duration:
	☐ More than 95% of the construction contract time (10 points)☐ 75% - 95% of the construction contract time (5 points)
	Less than 75% of the construction contract time (0 points)
	(2) The originally assigned superintendent remained assigned and involved with the project for the following duration:
	More than 95% of the construction contract time (10 points) 75% - 95% of the construction contract time (5 points) Less than 75% of the construction contract time (0 points)
	(3) When were you required to submit schedule updates, e.g., weekly, with every application for payment, etc.?
	How many times did you submit your schedule update on time? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	(4) How many times did the Owner or Owner's Representative approve your schedule update? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	(5) How many applications for payment did you submit?
	When were your applications for payment due? How many times did you submit your applications for payment on time in the form required by your contract?
	More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	(6) When were you required to submit updated redlined as-builts?
	How many times did you submit your updated redlined as-builts on time in the form required by your contract? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	 (7) How would the entity that held your contract describe your overall performance and compliance with the contract requirements? More than satisfactory (10 points) Satisfactory (5 points) Needs improvement (0 points)
UC Rev	2, June 30, 2008 Prequalification Questionnaire

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11.	LIC	quidated Damages and Ciaims:			
	a.	Were liquidated damages assessed against you? ☐ Yes or ☐ No	If "Yes," then state amount:	\$	
	b.	Were actual damages assessed against you? ☐ Yes or ☐ No	If "Yes," then state amount:	\$	
	C.	Were back charges assessed against you? \square Yes or \square No	If "Yes," then state amount:	\$	
		If you answered "Yes" to any of the above questions, provide the follopage and attach it to this Prequalification Questionnaire: (1) Amount of initial claim (2) Resolution and amount of final clair (3) Source of claim (e.g., subcontracto (4) Method of resolution.	n	9	
	d.	Did you file a/any claim(s) on the referenced project? ☐ Yes or ☐ No If "Yes," complete a Claim Data Sheet per Section 16 of this Prequalif			
12.	2. Responsible Performance on the Project Provided on the Project Data Sheet: (Pass/Fail Section)				
	a.	Have you listed any negative references for the Project above?			
		YES NO			
	b.	Have you provided any information that would conflict with a refe	erence verification check?		
		YES NO			
	C.	Do you have a history of poor past performance of work on the feedback evidenced by continued use of defective materials, unauthorized correct work not in accordance with the contract documents, or supervision required by the contract documents?	I product substitutions, refusal		
		YES □ NO □			

END OF PROJECT DATA SHEET NO. 1



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PROJECT DATA SHEET NO. 2

Project Nam	e:			
Location:	(Street Address)	,	City) , .	(State & Zip
Owner:				
Contact Nam	e:	Tel:	Cell:	
Contact Title	:	Email:		
Owner was		California State University		
If "No," then	er hold your contract? Yes or No provide the contact information for the e ny Name:	ntity that held your contract:		
Contact	Person:	Tel:	Cell:	
Email:	Record:			
Address:		,		
Empil:	(Street Address)	,		(State & Zip
Email:		rei:	Cell: _	
Your Region	nal Office Information:			
State the lice	nse number and license classification(s) under which you completed	the work:	
Provide the r	name of your superintendent assigned to	the work:		
Provide the r	person that you are proposing to be the name of your project manager assigned	to the work:		
	person that you are proposing to be the		ersity's project? 🗌 Ye	s or 🗌 No
	name of your LEED AP assigned to the v			
	person that you are proposing to be the		project? ☐ Yes or ☐] No
Provide the a	address for the office that directly manag	ed the work:		
	(Street Address)	·	,	(State & Zip
	(Succi Addiess)	(1	Jity <i>)</i>	(State & ZIF



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6.	Project Delivery Method: (CM NOT AT RISK DOES NOT QUALIFY.)						
	Project Delive	ery Method: Check one:	☐ CM at Risk ☐ Other, speci	☐ Design-Bid-B fy:	Build	☐ Design-Build	
7.	Schedule:	Original Start D	ate:		Actua	al Start Date:	
						pletion Date:	
		# of Time Extension					
	Numbe	er of calendar days extension			cumen	ts:	
	Numbe	er of calendar days extension	on due to unfores	een conditions:			
	Numbe	er of calendar days extension	on due to design e	errors/omissions:			
	Numbe	Number of calendar days extension due to regulatory agency requirements:					
	Numbe	er of calendar days extension	on due to owner-i i	nitiated changes:			
		a separate page, provide a rise included above and a				prospective bidder or not	
8.	Contract Am	ount:					
		Original C					
					Numb	er of Change Orders:	
		Final Co	ntract Amount \$				
	Amoun	t of Change Orders due to	conflicts in cons	truction documents	:	\$	
		t of Change Orders due to				\$	
	Amoun	t of Change Orders due to	design errors/on	nissions:		\$	
	Amoun	t of Change Orders due to	regulatory agend	cy requirements:		\$	
	Amoun	t of Change Orders due to	owner-initiated c	hanges:		\$	
9.a.	Project Desc	ription:					
	a) Did y	ou complete the construct	ion work acting as	the general contracto	or? 🔲 \	Yes or ☐ No	
	b) Did y	you complete your construc	ction work acting a	s the subcontractor?	☐ Yes	or 🗌 No	
	c) Cons	struction Type:					
		cribe your entire Scope-of- arate page if necessary.	Work related to the	Project for which you	u are a	pplying to prequalify. Attach a	



9.b.

Construction Experience: (Construction Experience Requirement 6.A.1.)

Spieth Hall Roof Replacement and Mechanical Upgrade Project Number: 950599

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1.	Т	he project involved: (Check all that apply. Each is worth 10 Points. 30 Points required to pass.)
		☐ Roof demolition of 10,000 SF ☐ New roof installation in excess of 10,000 SF ☐ HVAC Replacement of 2 or more roof-top units
2.	D	id the project involve construction work in an occupied laboratory building? (Pass/Fail)
		☐ Yes, was in an occupied laboratory building ☐ No
	C	"YES," then in the space below, describe the adjacency and/or pedestrian and traffic conditions, proximity to the construction work, and the measures that you took to mitigate risk of harm to pedestrians and vehicles. Attach a eparate sheet if necessary.
10. Staf	f Ra	ating – Construction: (Must score at least 35 points to pass.)
	(1)	The originally assigned project manager remained assigned and involved with the project for the following duration:
		☐ More than 95% of the construction contract time (10 points) ☐ 75% - 95% of the construction contract time (5 points)
		Less than 75% of the construction contract time (0 points)
	(2)	The originally assigned superintendent remained assigned and involved with the project for the following duration:
		☐ More than 95% of the construction contract time (10 points) ☐ 75% - 95% of the construction contract time (5 points)
		Less than 75% of the construction contract time (0 points)
	(3)	When were you required to submit schedule updates, e.g., weekly, with every application for payment, etc.?
		How many times did you submit your schedule update on time? More than 90% of the time = More than satisfactory (10 points)
		☐ 75% - 90% of the time = Satisfactory (5 points) ☐ Less than 75% of the time = Needs improvement (0 points)
	(4)	How many times did the Owner or Owner's Representative approve your schedule update?
	` '	☐ More than 90% of the time = More than satisfactory (10 points) ☐ 75% - 90% of the time = Satisfactory (5 points)
		Less than 75% of the time = Needs improvement (0 points)
	(5)	How many applications for payment did you submit? When were your applications for payment due?
		How many times did you submit your applications for payment on time in the form required by your contract? More than 90% of the time = More than satisfactory (10 points)
		☐ 75% - 90% of the time = Satisfactory (5 points) ☐ Less than 75% of the time = Needs improvement (0 points)
	(6)	When were you required to submit updated redlined as-builts?
	(0)	How many times did you submit your updated redlined as-builts on time in the form required by your contract?
		☐ More than 90% of the time = More than satisfactory (10 points) ☐ 75% - 90% of the time = Satisfactory (5 points) ☐ Leasthan 75% of the time = Needs improvement (0 points)
	(7)	Less than 75% of the time = Needs improvement (0 points) How would the entity that held your contract describe your overall performance and compliance with the
	(1)	contract requirements? ☐ More than satisfactory (10 points)
		Satisfactory (5 points) Needs improvement (0 points)
UC Rev 2, Jur	ne 30	

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Contract Number: 950599-LF-2022-84

11.	LIC	juidated Damages and Claims:
	a)	Were liquidated damages assessed against you? ☐ Yes or ☐ No ☐ If "Yes," then state amount:
	b)	Were actual damages assessed against you? Yes or No If "Yes," then state amount: \$
	c)	Were back charges assessed against you? ☐ Yes or ☐ No
		If you answered "Yes" to any of the above questions, provide the following information on a separate page and attach it to this Prequalification Questionnaire: (5) Amount of initial claim (6) Resolution and amount of final claim (7) Source of claim (e.g., subcontractor, etc.) (8) Method of resolution.
	d)	Did you file a/any claim(s) on the referenced project? ☐ Yes or ☐ No
		If "Yes," complete a Claim Data Sheet per Section 16 of this Prequalification Questionnaire.
12.		esponsible Performance on the Project Provided on the Project Data Sheet: ass/Fail Section)
	a.	Have you listed any negative references for the Project above?
		YES NO NO
	b.	Have you provided any information that would conflict with a reference verification check?
		YES NO NO
	C.	Do you have a history of poor past performance of work on the Project referenced above as evidenced by continued use of defective materials, unauthorized product substitutions, refusal to correct work not in accordance with the contract documents, or repeated failure to provide proper supervision required by the contract documents?
		YES NO NO

END OF PROJECT DATA SHEET NO. 2



Contract Number: 950599-LF-2022-84

PROJECT DATA SHEET NO. 3

Project Name	:			
Location:	(Street Address)	·	(City)	(State & Zip
Owner:				
Contact Name	:	Tel·	Cell·	
Contact Title:				
Owner was	☐ a private entity ☐ Califo	ornia State University		
Owner was	☐ 4-Year Private College or University: ☐ Other public entity:			•
Did the Owner	hold your contract? ☐ Yes or ☐ No			
	ovide the contact information for the entity	•		
Contact F	Person:	Tel:	Cell:	
		Email:		
Inspector of F	Record:			
Address:				
	(Street Address)		(City)	(State & Zi
Email:		Tel:	Cell:	
State the licen	al Office Information: se number and license classification(s) und	•	d the work:	
	me of your superintendent assigned to the	•		
Provide the na	erson that you are proposing to be the supo me of your project manager assigned to th	e work:		
Is this same po	erson that you are proposing to be the proj	ect manager on the Univ	versity's project? 🗌 Ye	s or 🗌 No
Provide the na	me of your LEED AP assigned to the work	·		
Is this same po	erson that you are proposing to be the LEE	D AP on the University's	s project? Yes or] No
Is this same po	,	D AP on the University's	s project? ☐ Yes or ☐] No



Contract Number: 950599-LF-2022-84

b.	Project Delivery Met	noa: (CIVI NOT A I	KISK DOES NO	I QUALIFY.)		
	Project Delivery Meth	od: Check one:	☐ CM at Risk ☐ Other, speci	☐ Design-Bid	d-Build	☐ Design-Build
7.	Schedule:	Original Start D	ate:		Actua	al Start Date:
	Orig	jinal Completion D	ate:	Ac	tual Com	pletion Date:
		# of Time Extension	ons:			
	Number of cale	ndar days extensi	on due to conflict s	s in construction d	documen	ts:
	Number of cale	ndar days extensi	on due to unfores	een conditions:		
	Number of cale	ndar days extensi	on due to design e	errors/omissions:		
	Number of cale	ndar days extensi	on due to regulato	ory agency require	ments:	
	Number of cale	ndar days extensi	on due to owner-i i	nitiated changes:		
						prospective bidder or not
	otherwise incl	uded above and a	attach it to this Pre	qualification Question	onnaire.	
8.	Contract Amount:	· ·				per of Change Orders:
		Final Co	ntract Amount \$			
	Amount of Cha	nge Orders due to	conflicts in cons	struction documen	ts:	\$
		-	unforeseen cond			\$
	Amount of Cha	nge Orders due to	design errors/on	nissions:		\$
	Amount of Cha	nge Orders due to	regulatory agend	cy requirements:		\$
	Amount of Cha	nge Orders due to	owner-initiated o	changes:		\$
9.a.	Project Description:					
	*		tion work acting as	the general contrac	ctor? 🔲 `	Yes or ☐ No
	•	-	_	as the subcontractor		
	Construction	•	3		_	_
	4. Describe you		Work related to the	e Project for which y	you are a	pplying to prequalify. Attach



9.b.

Construction Experience: (Construction Experience Requirement 6.A.1.)

Spieth Hall Roof Replacement and Mechanical Upgrade Project Number: 950599

Contract Number: 950599-LF-2022-84

1. T h	ne project involved: (Check all that apply. Each is worth 10 Points. 30 Points required to pass.)
	Roof demolition of 10,000 SF New roof installation in excess of 10,000 SF HVAC Replacement of 2 or more roof-top units
2. Dio	d the project involve construction work in an occupied laboratory building? (Pass/Fail)
	Yes, was in an occupied laboratory building \square No
cons	ES," then in the space below, describe the adjacency and/or pedestrian and traffic conditions, proximity to the truction work, and the measures that you took to mitigate risk of harm to pedestrians and vehicles. Attach a rate sheet if necessary.
10. Staff Ratin	g – Construction: (Must score at least 35 points to pass.)
	e originally assigned project manager remained assigned and involved with the project for the following ration:
du	☐ More than 95% of the construction contract time (10 points)
	☐ 75% - 95% of the construction contract time (5 points) ☐ Less than 75% of the construction contract time (0 points)
	ne originally assigned superintendent remained assigned and involved with the project for the following paration:
	☐ More than 95% of the construction contract time (10 points)
	☐ 75% - 95% of the construction contract time (5 points) ☐ Less than 75% of the construction contract time (0 points)
3. W	hen were you required to submit schedule updates, e.g., weekly, with every application for payment, etc.?
Ho	ow many times did you submit your schedule update on time? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
4. Но	ow many times did the Owner or Owner's Representative approve your schedule update? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
5. Ho	ow many applications for payment did you submit?
	hen were your applications for payment due?
Ho	ow many times did you submit your applications for payment on time in the form required by your contract? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	hen were you required to submit updated redlined as-builts?
Ho	ow many times did you submit your updated redlined as-builts on time in the form required by your contract? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	ow would the entity that held your contract describe your overall performance and compliance with the intract requirements? More than satisfactory (10 points) Satisfactory (5 points) Needs improvement (0 points)
UC Rev 2, June 30, 20	008 Prequalification Questionnaire

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Contract Number: 950599-LF-2022-84

	a)	idated Damages and Claims: Were liquidated damages assessed against you? ☐ Yes or ☐ No ☐ If "Yes," then state amount:	\$
	b)	Were actual damages assessed against you? ☐ Yes or ☐ No If "Yes," then state amount:	\$
	c)	Were back charges assessed against you? ☐ Yes or ☐ No If "Yes," then state amount:	\$
		If you answered "Yes" to any of the above questions, provide the following information on a separate page and attach it to this Prequalification Questionnaire: (9) Amount of initial claim (10) Resolution and amount of final claim (11) Source of claim (e.g., subcontractor, etc.) (12) Method of resolution.	
		Did you file a/any claim(s) on the referenced project? Yes or No If "Yes," complete a Claim Data Sheet per Section 16 of this Prequalification Questionnaire.	
12.		ponsible Performance on the Project Provided on the Project Data Sheet: ss/Fail Section)	
	a.	Have you listed any negative references for the Project above?	
		YES NO NO	
	b.	YES NO Have you provided any information that would conflict with a reference verification check?	
	b.		
	b. c.	Have you provided any information that would conflict with a reference verification check?	

END OF PROJECT DATA SHEET NO. 3



Contract Number: 950599-LF-2022-84

PROJECT DATA SHEET NO. 4

Project Nam	ne:			
Location:	(Street Address)	,(C	ity) ,	(State & Zip
Owner:				
Contact Nan		Tel:	Cell:	
Contact Title): 	Email:		
Owner was	☐ a private entity ☐ ☐ 4-Year Private College or Univers ☐ Other public entity:	California State University ity:		
If "No," then	er hold your contract? Yes or No provide the contact information for the e ny Name:			
Contact	t Person:	Tel: Email:	Cell:	
Design Prof	fessional of Record:			
Address: _	(Street Address)	, <u>(C</u>	ity) ,	(State & Zip
Email:		Tel:	Cell:	
Inspector of	f Record:			
Address:		,	,	
	(Street Address)	(Ci	•,	(State & Zip
Email:		Tel:	Cell:	
•	nal Office Information: ense number and license classification(s) under which you completed th	ne work:	
Provide the r	name of your superintendent assigned t	to the work:		
Provide the r	person that you are proposing to be the name of your project manager assigned	to the work:		
Is this same	person that you are proposing to be the	e project manager on the Univer	rsity's project? ☐ Yes	or 🗌 No
Provide the r	name of your LEED AP assigned to the	work:		
	person that you are proposing to be the		oroject?	lo
Provide the	address for the office that directly mana	ged the work:		



Contract Number: 950599-LF-2022-84

6.	Project	Delivery Method: (CM NOT AT RI	SK DOES NOT Q	UALIFY.)		
	Project [•	☐ CM at Risk ☐ Other, specify:	☐ Design-Bid-B	uild	☐ Design-Build
7.	Schedu	le: Original Start Date:			Actual	Start Date:
					al Comp	letion Date:
		# of Time Extensions:				
	N	umber of calendar days extension of			cuments	s:
	N	umber of calendar days extension of	lue to unforeseer	conditions:		
	N	umber of calendar days extension of	lue to design erro	ors/omissions:		
	N	umber of calendar days extension of	lue to regulatory	agency requireme	ents:	
	N	umber of calendar days extension of	lue to owner-initi	ated changes:		
		On a separate page, provide an e				prospective bidder or not
8.	Contrac	et Amount:				
		Original Contr				
			·		Numbe	er of Change Orders:
		Final Contra	ct Amount \$			
	Aı	mount of Change Orders due to co	nflicts in constru	ction documents:	:	\$
		mount of Change Orders due to un				\$
		mount of Change Orders due to de s				\$
		mount of Change Orders due to rec	_			\$
		mount of Change Orders due to ow		=		\$
		, and the second				
9.a.	Project	Description:				
J.u.	a)	Did you complete the construction	work acting as the	a deneral contracto	r2 □ V	es or \square No
	b)	Did you complete your construction	•	•		
	c)	Construction Type:	. Work dolling as ti	io subcontractor: [21 L140
	d)	Describe your entire Scope-of-Worseparate page if necessary.	k related to the P	roject for which you	ı are apı	plying to prequalify. Attach a



9.b.

Construction Experience: (Construction Experience Requirement 6.A.1.)

Spieth Hall Roof Replacement and Mechanical Upgrade Project Number: 950599

Contract Number: 950599-LF-2022-84

	1.	The project involved: (Check all that apply. Each is worth 10 Points. 30 Points required to pass.)
		☐ Roof demolition of 10,000 SF ☐ New roof installation in excess of 10,000 SF ☐ HVAC Replacement of 2 or more roof-top units
	2.	Did the project involve construction work in an occupied laboratory building? (Pass/Fail)
		☐ Yes, was in an occupied laboratory building ☐ No
		If "YES," then in the space below, describe the adjacency and/or pedestrian and traffic conditions, proximity to the construction work, and the measures that you took to mitigate risk of harm to pedestrians and vehicles. Attach a separate sheet if necessary.
10.	Staff	Rating – Construction: (Must score at least 35 points to pass.)
	1	. The originally assigned project manager remained assigned and involved with the project for the following duration:
		☐ More than 95% of the construction contract time (10 points)
		☐ 75% - 95% of the construction contract time (5 points)☐ Less than 75% of the construction contract time (0 points)
	2	. The originally assigned superintendent remained assigned and involved with the project for the following duration:
		☐ More than 95% of the construction contract time (10 points)☐ 75% - 95% of the construction contract time (5 points)
		Less than 75% of the construction contract time (0 points)
	3	. When were you required to submit schedule updates, e.g., weekly, with every application for payment, etc.?
		How many times did you submit your schedule update on time? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	4	How many times did the Owner or Owner's Representative approve your schedule update? ☐ More than 90% of the time = More than satisfactory (10 points) ☐ 75% - 90% of the time = Satisfactory (5 points) ☐ Less than 75% of the time = Needs improvement (0 points)
	5	. How many applications for payment did you submit?
		When were your applications for payment due?
		How many times did you submit your applications for payment on time in the form required by your contract? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	6	<u></u>
		How many times did you submit your updated redlined as-builts on time in the form required by your contract? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	7	How would the entity that held your contract describe your overall performance and compliance with the contract requirements? More than satisfactory (10 points) Satisfactory (5 points) Needs improvement (0 points)
LIC Rev	2 June	30, 2008 Prequalification Questionnaire
OO NEV Z	_, Ju⊓e	50, 2000 Frequalification Questionifalle

UCR Rev 2013-11-20 24 Traditional



Contract Number: 950599-LF-2022-84

11.	Liq	uidated Damages and Claims:		
	a)	Were liquidated damages assessed against you? $\hfill \square$ Yes or $\hfill \square$ No amount:	If "Yes," then state	\$
	b)	Were actual damages assessed against you? ☐ Yes or ☐ No	If "Yes," then state amount:	\$
	c)	Were back charges assessed against you? \square Yes or \square No	If "Yes," then state amount:	\$
		If you answered "Yes" to any of the above questions, provide the follopage and attach it to this Prequalification Questionnaire: (13) Amount of initial claim (14) Resolution and amount of final claim (15) Source of claim (e.g., subcontracto (16) Method of resolution.	m	е
	d)	Did you file a/any claim(s) on the referenced project? ☐ Yes or ☐ No If "Yes," complete a Claim Data Sheet per Section 16 of this Prequalit		
12.		sponsible Performance on the Project Provided on the Project Da ass/Fail Section)	ata Sheet:	
	a.	Have you listed any negative references for the Project above?		
		YES NO		
	b.	Have you provided any information that would conflict with a refe	erence verification check?	
		YES □ NO □		
	C.	Do you have a history of poor past performance of work on the I evidenced by continued use of defective materials, unauthorized correct work not in accordance with the contract documents, or supervision required by the contract documents?	d product substitutions, refusal	
		oupon notation by and continuous accommend		
		YES NO		

END OF PROJECT DATA SHEET NO. 4



Contract Number: 950599-LF-2022-84

PROJECT DATA SHEET NO. 5

Location:			,			
		(Street Address)		(City)	· -	(State & Zi
Owner:						
Contact Nam	ie:		Tel:		Cell:	
Contact Title	: <u> </u>		Email:			
Owner was	·	ate College or Univers	California State Universi ity: 			
If "No," then I	provide the conta		entity that held your cont			
Contact	Person:		Tel:		Cell:	
Address: Email:		(Street Address)	, Tel:	(City)	, <u>-</u>	(State & Z
Address:		(Street Address)	, ,	(City)	, _ Cell: _	(State & Zi
Address:	Record:	(Street Address)	,, Tel:	(City)	,, ,, ,, ,	(State & Zi
Address: Email: Inspector of Address:	Record:	(Street Address)	, Tel:	(City)	, ,	(State & Zi
Address: Email: Inspector of	Record:	(Street Address)	,, Tel:	(City)	, ,	(State & Zi
Address: Email: Inspector of Address: Email: Your Region State the lice	Record: nal Office Informense number and	(Street Address) (Street Address) ation:	Tel: Tel: Tel:	(City)	Cell:	(State & Zi
Address: Email: Inspector of Address: Email: Your Region State the lice Provide the r Is this same Provide the r	nal Office Informanse number and person that you aname of your proj	(Street Address) (Street Address) ation: license classification(serintendent assigned to be the ect manager assigned	Tel: Tel: Tel: to the work: to the work:	(City) (City) pleted the work:	Cell:	(State & Zi
Address: Email: Inspector of Address: Email: Your Regior State the lice Provide the r Is this same Provide the r Is this same	nal Office Informations of your supperson that you and mame of your projects on that you are the person that you are the person that you are person that you are the person th	(Street Address) (Street Address) ation: license classification(serintendent assigned to be the ect manager assigned to be the ere proposing to be t	Tel: Tel: Tel: Tel: So under which you comport the work: Experintendent on the let to the work: Tel: T	(City) (City) pleted the work:	Cell:	(State & Zi
Address: Email: Inspector of Address: Email: Your Region State the lice Provide the r Is this same Provide the r Is this same Provide the r	ral Office Informations number and name of your supperson that you an ame of your projugerson that you an ame of your LEE	(Street Address) (Street Address) (Street Address) ation: license classification(street assigned to the ect manager assigned to the ED AP assigned to the	Tel: Tel: Tel: Tel: So under which you comport the work: Experintendent on the let to the work: Tel: T	(City) (City) pleted the work: University's projections	Cell:	(State & Zi



Contract Number: 950599-LF-2022-84

6.	Project	Delivery Method: (CM NOT AT I	RISK DOES NOT Q	UALIFY.)		
	Project	Delivery Method: Check one:	☐ CM at Risk ☐ Other, specify:	☐ Design-Bid-Bu	ild	☐ Design-Build
	Schedu	ıle: Original Start Dat	e:		Actual	Start Date:
						letion Date:
		# of Time Extension				
	N	lumber of calendar days extensior			ument	s:
	N	lumber of calendar days extensior	n due to unforeseen	conditions:		
	N	lumber of calendar days extensior	n due to design erro	ors/omissions:		
	N	lumber of calendar days extensior	due to regulatory	agency requireme	nts:	
	١	lumber of calendar days extensior	n due to owner-initi a	ated changes:		
3.	c	On a separate page, provide an therwise included above and att				prospective bidder or not
	Contra	ct Amount:	atmost Assessed C			
		· ·			Numba	er of Change Orders:
					Numbe	or change orders.
	Δ	mount of Change Orders due to c	· <u></u>			\$
		mount of Change Orders due to u				\$
		mount of Change Orders due to d				\$
		mount of Change Orders due to re	_			\$
		mount of Change Orders due to o		-		\$
		3				
a.	Project	Description:				
	a)	Did you complete the construction		-		
	b)	Did you complete your constructi	ion work acting as th	e subcontractor? [] Yes d	or 🗌 No
	c)	Construction Type:				
	d)	Describe your entire Scope-of-W separate page if necessary	ork related to the Pr	•	are ap	plying to prequalify. Attach



9.b.

Construction Experience: (Construction Experience Requirement 6.A.1.)

Spieth Hall Roof Replacement and Mechanical Upgrade Project Number: 950599

Contract Number: 950599-LF-2022-84

1.	The project involved: (Check all that apply. Each is worth 10 Points. 30 Points required to pass.)
	☐ Roof demolition of 10,000 SF ☐ New roof installation in excess of 10,000 SF ☐ HVAC Replacement of 2 or more roof-top units
2.	Did the project involve construction work in an occupied laboratory building? (Pass/Fail)
	☐ Yes, was in an occupied laboratory building ☐ No
	If "YES," then in the space below, describe the adjacency and/or pedestrian and traffic conditions, proximity to the construction work, and the measures that you took to mitigate risk of harm to pedestrians and vehicles. Attach a separate sheet if necessary.
10. Stat	f Rating – Construction: (Must score at least 35 points to pass.)
	1. The originally assigned project manager remained assigned and involved with the project for the following duration:
	☐ More than 95% of the construction contract time (10 points)
	☐ 75% - 95% of the construction contract time (5 points)☐ Less than 75% of the construction contract time (0 points)
	2. The originally assigned superintendent remained assigned and involved with the project for the following duration:
	☐ More than 95% of the construction contract time (10 points)
	☐ 75% - 95% of the construction contract time (5 points)☐ Less than 75% of the construction contract time (0 points)
	3. When were you required to submit schedule updates, e.g., weekly, with every application for payment, etc.?
	How many times did you submit your schedule update on time? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	 4. How many times did the Owner or Owner's Representative approve your schedule update? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	How many applications for payment did you submit?
	When were your applications for payment due? How many times did you submit your applications for payment on time in the form required by your contract?
	6. When were you required to submit updated redlined as-builts?
	How many times did you submit your updated redlined as-builts on time in the form required by your contract? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	7. How would the entity that held your contract describe your overall performance and compliance with the contract requirements? More than satisfactory (10 points) Satisfactory (5 points) Needs improvement (0 points)
UC Rev 2, Jur	
20 1 10 v 2, Jul	1 Toqualinoation Questionnaire

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Contract Number: 950599-LF-2022-84

11.	Liq	uidated Damages and Claims:	
	a)	Were liquidated damages assessed against you? ☐ Yes or ☐ No	
	b)	Were actual damages assessed against you? Yes or No If "Yes," then state amount: \$	
	c)	Were back charges assessed against you? ☐ Yes or ☐ No If "Yes," then state amount: \$	_
		If you answered "Yes" to any of the above questions, provide the following information on a separate page and attach it to this Prequalification Questionnaire: (17) Amount of initial claim (18) Resolution and amount of final claim (19) Source of claim (e.g., subcontractor, etc.) (20) Method of resolution.	
	d)	Did you file a/any claim(s) on the referenced project? ☐ Yes or ☐ No If "Yes," complete a Claim Data Sheet per Section 16 of this Prequalification Questionnaire.	
12.		esponsible Performance on the Project Provided on the Project Data Sheet: ass/Fail Section)	
	a.	Have you listed any negative references for the Project above?	
		YES NO	
	b.	Have you provided any information that would conflict with a reference verification check?	
		YES NO	
	C.	Do you have a history of poor past performance of work on the Project referenced above as evidenced by continued use of defective materials, unauthorized product substitutions, refusal to correct work not in accordance with the contract documents, or repeated failure to provide proper supervision required by the contract documents?	
		YES NO	

END OF PROJECT DATA SHEET NO. 5



Contract Number: 950599-LF-2022-84

PROJECT DATA SHEET NO. 6

Location:			,		,	
		(Street Address)	,	(City)		(State & Zi _l
Owner:						
Contact Nam	ie:		Tel:		Cell:	
Contact Title	:		Email:			
Owner was	_ ·	te College or Universit	California State Universit iy: 	-	-	of California
If "No," then	provide the conta		ntity that held your contr			
Contact	Person:		Tel:		Cell:	
			Email:			
Address:			, Tel:	(City)	, <u>-</u>	(State & Zi
Address:		(Street Address)	,	(City)	, Cell: _	(State & Zi
Address:	Record:	(Street Address)	, Tel:	(City)	,, ,,,	(State & Zi
Address: Email: Inspector of Address:	Record:	(Street Address)	, Tel: ,	(City)	, , , ,	(State & Zi
Address: Email: Inspector of	Record:	(Street Address)	, Tel:	(City)	, , , ,	(State & Zi
Address: Email: Inspector of Address: Email: Your Region State the lice	Record: nal Office Informense number and	(Street Address) (Street Address) ation: license classification(s	,, Tel:, Tel:, Tel:,	(City)	Cell: _	(State & Zi
Address: Email: Inspector of Address: Email: Your Region State the lice Provide the r	Record: nal Office Inform nse number and name of your supe	(Street Address) (Street Address) ation: license classification(serintendent assigned to	Tel: Tel: Tel: o the work:	(City) (City)	Cell: _	(State & Zi
Address: Email: Inspector of Address: Email: Your Region State the lice Provide the r Is this same Provide the r	nal Office Informonse number and name of your superperson that you and name of your projections.	(Street Address) (Street Address) ation: license classification(serintendent assigned to re proposing to be the ect manager assigned	Tel: Tel: Tel: under which you complete the work: superintendent on the Uto the work:	(City) (City) leted the work:	Cell:	(State & Zi _l (State & Zi _l
Address: Email: Inspector of Address: Email: Your Regior State the lice Provide the r Is this same Provide the r Is this same	nal Office Informonse number and person that you and mame of your projections of the person that you are p	(Street Address) (Street Address) ation: license classification(serintendent assigned to re proposing to be the ect manager assigned re proposing to be the	Tel: Tel: Tel: yunder which you complete the work: superintendent on the Uto the work: project manager on the	(City) (City) leted the work:	Cell:	(State & Zi _l (State & Zi _l
Address: Email: Inspector of Address: Email: Your Regior State the lice Provide the r Is this same Provide the r Is this same Provide the r	nal Office Informense number and person that you a name of your projection of your LEE	(Street Address) (Street Address) ation: license classification(serintendent assigned to the ect manager assigned re proposing to be the ect manager assigned of the North Address)	Tel: Tel: Tel: yunder which you complete the work: superintendent on the Uto the work: project manager on the	(City) (City) leted the work: Iniversity's proj	Cell:	(State & Zi



Contract Number: 950599-LF-2022-84

6.	Project	Delivery Method: (C	M NOT AT	RISK DOES NO	T QUALIFY.)		
	Project	Delivery Method: Cho	eck one:	☐ CM at Risk☐ Other, spec	_ 3	Build	☐ Design-Build
	Schedu	ıle: Origi	nal Start Da	te:		Actua	ıl Start Date:
							oletion Date:
				าร:			
	Ν	lumber of calendar da				cumen	ts:
	N	lumber of calendar da	ys extensior	n due to unfores	een conditions:		
	N	lumber of calendar da	ys extensior	n due to design	errors/omissions:		
	N	lumber of calendar da	ys extensior	n due to regulat o	ory agency requirem	nents:	
	N	lumber of calendar da	ys extensior	n due to owner-i	nitiated changes:		
							prospective bidder or not
	0	therwise included a	pove and at	tach it to this Pre	equalification Question	nnaire.	
	Contrac	ct Amount:					
			Original Co	ntract Award \$	-		
			Ch	ange Orders \$	-	Numb	er of Change Orders:
			Final Conf	tract Amount \$			
	А	mount of Change Ord	lers due to c	conflicts in cons	struction documents	s:	\$
		mount of Change Ord					\$
		mount of Change Ord					\$
		mount of Change Ord		_			\$
		mount of Change Ord			-		\$
					9		*
.a.	Project	Description:					
a.	-	Did you complete the	o constructio	on work acting as	the general centract	or2 □ \	/os or □ No
		Did you complete yo		_	-		
	` ,	Construction Type:	ui constituct	ion work acting a	as the subcontractor?	□ 162	01 🗀 140
	(4)	• • •	•	ork related to the	e Project for which yo	u are a	oplying to prequalify. Attach a



9.b.

Construction Experience: (Construction Experience Requirement 6.A.2.)

Spieth Hall Roof Replacement and Mechanical Upgrade Project Number: 950599

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		id the project involve construction work in an occupied facility surrounded by continuous pedestrian and vehicular affic during normal business hours? (Pass/Fail)
		☐ Yes, was in an occupied facility OR ☐ No
	C	"YES," then in the space below, describe the adjacency and/or pedestrian and traffic conditions, proximity to the construction work, and the measures that you took to mitigate risk of harm to pedestrians and vehicles. Attach a eparate sheet if necessary.
10.	Staff Ra	ating – Construction: (Must score at least 35 points to pass.)
	(1)	The originally assigned project manager remained assigned and involved with the project for the following
	(.)	duration:
		☐ More than 95% of the construction contract time (10 points)☐ 75% - 95% of the construction contract time (5 points)
		Less than 75% of the construction contract time (0 points)
	(2)	The originally assigned superintendent remained assigned and involved with the project for the following duration:
		More than 95% of the construction contract time (10 points)
		☐ 75% - 95% of the construction contract time (5 points) ☐ Less than 75% of the construction contract time (0 points)
	(3)	When were you required to submit schedule updates, e.g., weekly, with every application for payment, etc.?
		How many times did you submit your schedule update on time? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	(4)	How many times did the Owner or Owner's Representative approve your schedule update? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	(5)	How many applications for payment did you submit?
	(0)	When were your applications for payment due?
		How many times did you submit your applications for payment on time in the form required by your contract? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	(6)	When were you required to submit updated redlined as-builts?
		How many times did you submit your updated redlined as-builts on time in the form required by your contract? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	(7)	How would the entity that held your contract describe your overall performance and compliance with the contract requirements? More than satisfactory (10 points) Satisfactory (5 points) Needs improvement (0 points)



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11.	Liq	uidated Damages and Claims:
	a.	Were liquidated damages assessed against you? ☐ Yes or ☐ No
	b.	Were actual damages assessed against you? Yes or No If "Yes," then state amount: \$
	C.	Were back charges assessed against you? ☐ Yes or ☐ No If "Yes," then state amount: \$
		If you answered "Yes" to any of the above questions, provide the following information on a separate page and attach it to this Prequalification Questionnaire: (1) Amount of initial claim (2) Resolution and amount of final claim (3) Source of claim (e.g., subcontractor, etc.) (4) Method of resolution.
	d.	Did you file a/any claim(s) on the referenced project? ☐ Yes or ☐ No If "Yes," complete a Claim Data Sheet per Section 16 of this Prequalification Questionnaire.
12.		esponsible Performance on the Project Provided on the Project Data Sheet: ass/Fail Section)
	a.	Have you listed any negative references for the Project above?
		YES NO
	b.	Have you provided any information that would conflict with a reference verification check?
		YES NO
	C.	Do you have a history of poor past performance of work on the Project referenced above as evidenced by continued use of defective materials, unauthorized product substitutions, refusal to correct work not in accordance with the contract documents, or repeated failure to provide proper supervision required by the contract documents?
		YES NO

END OF PROJECT DATA SHEET NO. 6



Contract Number: 950599-LF-2022-84

PROJECT DATA SHEET NO. 7

Project Name	:			
Location:	(Street Address)	·	(City)	(State & Zip
Owner:				
Contact Name	:	Tel·	Cell·	
Contact Title:				
Owner was	☐ a private entity ☐ Califo	ornia State University		
Owner was	☐ 4-Year Private College or University: ☐ Other public entity:			•
Did the Owner	hold your contract? ☐ Yes or ☐ No			
	ovide the contact information for the entity	•		
Contact F	Person:	Tel:	Cell:	
		Email:		
Inspector of F	Record:			
Address:				
	(Street Address)		(City)	(State & Zi
Email:		Tel:	Cell:	
State the licen	al Office Information: se number and license classification(s) und	•	d the work:	
	me of your superintendent assigned to the	•		
Provide the na	erson that you are proposing to be the supo me of your project manager assigned to th	e work:		
Is this same po	erson that you are proposing to be the proj	ect manager on the Univ	versity's project? 🗌 Ye	s or 🗌 No
Provide the na	me of your LEED AP assigned to the work	·		
Is this same po	erson that you are proposing to be the LEE	D AP on the University's	s project? Yes or] No
Is this same po	,	D AP on the University's	s project? ☐ Yes or ☐] No



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b.	Project L	Delivery Method: (CM NOT AT	KISK DOES NO	I QUALIFY.)		
	Project D	elivery Method: Check one:	☐ CM at Risk ☐ Other, spec	_	Build	☐ Design-Build
7.	Schedul	e: Original Start Da	ate:		Actu	al Start Date:
		Original Completion Da	ate:	Actu	ıal Com	pletion Date:
		# of Time Extensio				
	Nu	mber of calendar days extension	on due to conflict	s in construction do	cumer	its:
	Nu	mber of calendar days extension	on due to unfores	een conditions:		
	Nu	mber of calendar days extension	on due to design	errors/omissions:		
	Nu	mber of calendar days extension	on due to regulat o	ory agency requiren	nents:	
	Nu	mber of calendar days extension	on due to owner-i	nitiated changes:		
		On a separate page, provide a				prospective bidder or not
	Oti	nerwise included above and a	ttach it to this Pre	equalification Question	nnaire.	
3.	Contract	Amount:				
		•				
					Numl	per of Change Orders:
		Final Cor	ntract Amount \$	-	-	
	An	nount of Change Orders due to	conflicts in cons	struction documents	s:	\$
		nount of Change Orders due to				\$
	An	nount of Change Orders due to	design errors/or	missions:		\$
	An	nount of Change Orders due to	regulatory agen	cy requirements:		\$
	An	nount of Change Orders due to	owner-initiated	changes:		\$
9.a.	Project [Description:				
	(1)	Did you complete the constructi	on work acting as	s the general contract	or? 🔲	Yes or 🗌 No
	(2)	Did you complete your construc	tion work acting a	as the subcontractor?	☐ Yes	or No
	(3)	Construction Type:				
	` ,	Describe your entire Scope-of-V separate page if necessary.	Vork related to the	e Project for which yo	ou are a	pplying to prequalify. Attach



9.b.

Spieth Hall Roof Replacement and Mechanical Upgrade Project Number: 950599

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9.b.	Constru	ction Experience: (Construction Experience Requirement 6.A.2.)
	(1)	Did the project involve construction work in an occupied facility surrounded by continuous pedestrian and vehicular traffic during normal business hours? (Pass/Fail)
		☐ Yes, was in an occupied facility OR ☐ No
	CC	"YES," then in the space below, describe the adjacency and/or pedestrian and traffic conditions, proximity to the onstruction work, and the measures that you took to mitigate risk of harm to pedestrians and vehicles. Attach a eparate sheet if necessary.
10.	Staff Ra	ting – Construction: (Must score at least 35 points to pass.)
	(1)	The originally assigned project manager remained assigned and involved with the project for the following duration:
		☐ More than 95% of the construction contract time (10 points)
		75% - 95% of the construction contract time (5 points)
		Less than 75% of the construction contract time (0 points)
	(2)	The originally assigned superintendent remained assigned and involved with the project for the following duration:
		☐ More than 95% of the construction contract time (10 points)
		☐ 75% - 95% of the construction contract time (5 points) ☐ Less than 75% of the construction contract time (0 points)
		Less than 73% of the constituction contract time (o points)
	(3)	When were you required to submit schedule updates, e.g., weekly, with every application for payment, etc.?
		How many times did you submit your schedule update on time? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	(4)	How many times did the Owner or Owner's Representative approve your schedule update? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	(5)	How many applications for payment did you submit?
		When were your applications for payment due?
		How many times did you submit your applications for payment on time in the form required by your contract? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	(6)	When were you required to submit updated redlined as-builts?
	(-,	How many times did you submit your updated redlined as-builts on time in the form required by your contract? More than 90% of the time = More than satisfactory (10 points) 75% - 90% of the time = Satisfactory (5 points) Less than 75% of the time = Needs improvement (0 points)
	(7)	How would the entity that held your contract describe your overall performance and compliance with the contract requirements? More than satisfactory (10 points) Satisfactory (5 points) Needs improvement (0 points)



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11.	Liq	uidated Damages and Claims:
	a.	Were liquidated damages assessed against you? ☐ Yes or ☐ No If "Yes," then state amount: \$
	b.	Were actual damages assessed against you? Yes or No If "Yes," then state amount: \$
	C.	Were back charges assessed against you? ☐ Yes or ☐ No If "Yes," then state amount: \$
		If you answered "Yes" to any of the above questions, provide the following information on a separate page and attach it to this Prequalification Questionnaire: (1) Amount of initial claim (2) Resolution and amount of final claim (3) Source of claim (e.g., subcontractor, etc.) (4) Method of resolution.
	d.	Did you file a/any claim(s) on the referenced project? ☐ Yes or ☐ No If "Yes," complete a Claim Data Sheet per Section 16 of this Prequalification Questionnaire.
12.		esponsible Performance on the Project Provided on the Project Data Sheet: ass/Fail Section)
	a.	Have you listed any negative references for the Project above?
		YES NO
	b.	Have you provided any information that would conflict with a reference verification check?
		YES NO
	C.	Do you have a history of poor past performance of work on the Project referenced above as evidenced by continued use of defective materials, unauthorized product substitutions, refusal to correct work not in accordance with the contract documents, or repeated failure to provide proper supervision required by the contract documents?
		YES NO NO

END OF PROJECT DATA SHEET NO. 7



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7. RESPONSIBLE PERFORMANCE ON ALL COMPLETED PROJECTS (Points Section)

Each "NO" is worth 10 points. Must score 70 or more points to pass. At its sole discretion, the University may award 5 points due to mitigating circumstances.

If you answer "YES" to any question, provide an explanation on a separate page and attach it to this Prequalification Questionnaire.

Α.		provided any inaccurate or incorrect information required during n, qualification, bidding, or required by contract documents?
	YES 🗌	NO 🗆
B.	Is bidder curre	ntly debarred from work by a public entity in California?
	YES 🗌	NO If "YES," provide name of public entity:
C.	Has bidder per	formed any work without the required contractor's license?
	YES 🗌	NO 🗆
D.	Is bidder curre	ntly the debtor in a bankruptcy case?
	YES 🗌	NO 🗆
E.	Has bidder use	ed unlicensed or improperly licensed subcontractors?
	YES 🗌	NO 🗆
F.	Has bidder sub	ostituted a subcontractor without an owner's written consent?
	YES 🗌	NO 🗆
G.	Has bidder faile	ed to adhere to contractually required and agreed-upon schedules?
	YES 🗌	NO 🗆
H.	Has bidder eve contracts for pr	er been convicted of a criminal offense in connection with current or past rojects?
	YES 🗌	NO 🗆
I.	use of defectiv in accordance	ave a history of poor past performance of work as evidenced by continued to materials, unauthorized product substitutions, refusal to correct work not with the contract documents, termination for cause, or repeated failure to supervision required by the contract documents?
	YES 🗌	NO 🗆
J.		entity ever had to issue bidder a unilateral change order because bidder cute a change order and perform the change order work?
	YES 🗌	NO 🗆



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8. STAFFING (Pass/Fail Section)

A.	The University requires the prospective bidde Project.	r to assign a <u>full-time</u> superintendent to the
	Name of Superintendent:	Tel:
	Email:	Cell:
	 You must complete and submit detailed named individual has construction experie Section 6.A. of this Prequalification Quest 	ence substantially similar to that specified in
	subject to replacement by you at Prequalification and Bidding periods fo	ct to the University's approval, and is University's sole request during the r this Project, and if awarded the Contract idual approved by the University cannot
B.	The University requires the prospective bidder Project.	to assign a <u>full-time</u> project manager to the
	Name of Project Manager:	Tel:
	Email:	Cell:
	(1) You must complete and submit detailed named individual has construction experience Section 6.A. of this Prequalification Quest	ence substantially similar to that specified in
	subject to replacement by you at Prequalification and Bidding periods fo	ct to the University's approval, and is University's sole request during the r this Project, and if awarded the Contract idual approved by the University cannot
C.	NOT USED	
D.	The individual(s) named above must be assig Project by Contractor. Provide the address of	
	(Street	Address)
	(City)	(State & Zip)
	The above office must be within 90 miles of tl	ne UCR Planning, Design & Construction

Any change in the office assigned must be approved by the University and cannot be replaced without University's written consent.

office at 1223 University Avenue, Suite 240, Riverside, CA 92507.

Traditional



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SAFETY PROGRAM (Pass/Fail Section) 9.

	A.	Has bidder instituted an injury and illness prevention program (IIPP) pursuant to Section 3201.5 or 6401.7 of the Labor Code?
		YES
		If "YES," then ☐ attach a copy of the Table of Contents from bidder's IIPP.
	B.	Will bidder have personnel permanently assigned and dedicated part-time to Safety on this Project? May be the listed Project Manager or Superintendent.
		YES NO NO
		If "YES", provide the name(s) and title(s) of the person(s):
		Name and Title:
		If necessary, include additional information on a separate page and attach it to this Prequalification Questionnaire.
	C.	Has bidder had a serious and willful violation of Part 1 (commencing with Section 6300) of Division 5 of the Labor Code within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?
		YES NO NO
NOT U	SED	
NOT U 10 .		R COMPLIANCE (Pass/Fail Section)
		R COMPLIANCE (Pass/Fail Section) Has the prospective bidder committed a prevailing wage violation* within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?
	LABOR	Has the prospective bidder committed a prevailing wage violation* within the last five (5) calendar years preceding the deadline for submission of the Prequalification
	LABOR	Has the prospective bidder committed a prevailing wage violation* within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?
	LABOR	Has the prospective bidder committed a prevailing wage violation* within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire? YES NO *Does not include a violation determined to be due to inadvertent or unintentional error by the California Department of Industrial Relations. If a violation due to inadvertent or unintentional error, then on a separate page attached to this Prequalification Questionnaire, identify the violation by providing the project name, date of the violation, name of the entity (or entities), a brief description of the nature of the violation, and a brief description of the status of the violation (e.g., pending, or if resolved, a brief description of

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Prequalification Questionnaire



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11. QUALITY ASSURANCE/QUALITY CONTROL (QA/QC) PROGRAM (Pass/Fail Section)

	A.	Does bidder have a written quality assurance/quality control program?				
		YES				
		If "YES," ☐ attach a copy of bidder's QA/QC program.				
	B.	Will bidder have personnel permanently assigned and dedicated part-time to QA/QC on this Project? May be the listed Project Manager or Superintendent.				
		YES				
		If "YES", provide the name(s) and title(s) of the person(s):				
		Name and Title:				
		If necessary, include additional information on a separate page and attach it to this Prequalification Questionnaire.				
12.	DISCIPLINARY MEASURES HISTORY (Pass/Fail Section)					
	Has bidder (or any member of the entity if a joint venture or partnership) been disqualified or otherwise barred from doing business with a public agency (e.g., federal, state, county, city, University of California System, California State University System, school district,) within the last five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire?					
	YES [] NO 🗆				
13.	FALSE CLAIMS HISTORY (Pass/Fail Section)					
	Has bidder (or any member of the entity if a joint venture or partnership) been found in a final decision of a court to have submitted a false claim to a public agency (e.g., federal, state, county city, University of California System, California State University System,) within the last five (5 calendar years preceding the deadline for submission of the Prequalification Questionnaire?					
	YES [] NO 🗆				
14.	TERMINATION HISTORY (Pass/Fail Section)					
	by an o	lder (or any member of the entity if a joint venture or partnership) been terminated for cause owner after construction commenced within the last five (5) calendar years preceding the e for submission of the Prequalification Questionnaire?				
	YES [] NO □				
15.	LIQUIE	ATED DAMAGES (Pass/Fail Section)				
	Ider (or any member of the entity if a joint venture or partnership) been assessed liquidated es of more than \$50,000 on a construction contract with either a public or private owner he last five (5) calendar years preceding the deadline for submission of the Prequalification onnaire?					
	YES [] NO □				

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16. CLAIMS HISTORY (Points Section)

Each prospective bidder will be evaluated to determine if the bidder and/or persons or entities associated with the bidder have a history of having unmeritious claims asserted by or on their behalf in litigation or arbitration and/or of having had meritorious design or construction claims asserted against them in litigation or arbitration.

In order to be evaluated, each prospective bidder must complete the Claims History portion of this Prequalification Questionnaire. Based on the information provided, each prospective bidder will be assigned a Claims History score. The maximum possible score is 15. Must score 10 or more points to pass this Section.

Two (2) points will be deducted from the total available points for this Section for each qualifying lawsuit or arbitration, commenced within **five (5) calendar years** preceding the deadline for submission of the Prequalification Questionnaire, in which the prospective bidder and/or persons or entities associated with the bidder, had design or construction claims asserted by or on their behalf that were resolved by trial court judgment, arbitration award or settlement calling for receipt of less than 50% of the total amount of claims asserted in the lawsuit or arbitration.

Additionally, **two (2) points** will be deducted from the total available points for this Section for each qualifying lawsuit or arbitration, commenced within **five (5) calendar years** preceding the deadline for submission of the Prequalification Questionnaire, in which the prospective bidder and/or persons or entities associated with the bidder, had design or construction claims asserted against them, that were resolved by trial court judgment, arbitration award or settlement calling for receipt of more than 50% of the total amount of claims asserted in the lawsuit or arbitration.

Any prospective bidder with a **score deduction of 6 or more points** will presumptively be considered not prequalified because the prospective bidder and/or persons or entities associated with the bidder have been a party to three (3) or more lawsuits or arbitrations in which they either asserted, or had asserted on their behalf, unmeritorious design or construction claims or they had meritorious design or construction claims asserted against them.

The presumption may be rebutted if the University determines, after investigating any explanation offered in providing the Claims History, that the prospective bidder and/or persons or entities associated with the bidder have not been a party to three (3) or more lawsuits or arbitrations in which they either asserted, or had asserted on their behalf, unmeritorious design or construction claims or they had meritorious design or construction claims asserted against them.

If the presumption is not rebutted, the prospective bidder will be deemed to have an unacceptable Claims History, and will not be prequalifed for the Project that is the subject of this prequalification process.

As used herein:

"Lawsuit" means any lawsuit commenced within five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire.

"Arbitration" means any binding arbitration commenced within five (5) calendar years preceding the deadline for submission of the Prequalification Questionnaire.

"Claim" means a claim (excluding claims solely for the enforcement of stop notices) arising from design and/or construction work and includes, without limitation, claims for extra compensation and damages (including delay, disruption and acceleration damages, but excluding claims for personal injury or death), and claims for defective design or construction work.

"Pass-Through Claim" has the meaning commonly ascribed to it in the construction industry and also includes (i) any claim that was or is asserted by the prospective bidder, in whole or in part, against an Owner on behalf of a different person or entity; and (ii) any claim that was or is asserted



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by an Owner against the prospective bidder, and that was subsequently reasserted, in whole or in part, against a different person or entity.

A.	years precedir	ed to be prequalified, in part or in whole, within the last five (5) calendaring the deadline for submission of the Prequalification Questionnaire, for de requested information regarding past litigation or arbitration history?
	YES 🗌	NO [(5 Points)
B.	years precedin the total amou	en a party to any lawsuits or arbitrations, within the last five (5) calendar g the deadline for submission of the Prequalification Questionnaire, where nt of Claims (including Pass-Through Claims) asserted by or on behalf of eded \$50,000?
	YES 🗌	NO [(5 Points)
	If "YES," then h	now many?
		claim, complete a Claim Data Sheet and attach it to this Prequalification Make Copies of the Claim Data Sheet as needed.
C.	years precedin the total amou	en a party to any lawsuits or arbitrations, within the last five (5) calendar g the deadline for submission of the Prequalification Questionnaire, where nt of Claims (including Pass-Through Claims, and claims for indemnity or gainst the entity exceeded \$50,000?
	YES 🗌	NO [(5 Points)
	If "YES," then h	now many?
		claim, complete a Claim Data Sheet and attach it to this Prequalification Make Copies of the Claim Data Sheet as needed



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CLAIM DATA SHEET No. __

A separate Claim Data Sheet must be prepared for each Lawsuit or Arbitration as required above. If the claims were made against the entity and were resolved for more than 50% of the highest amount sought, state why the claims should not be considered meritorious design or construction claims asserted against the prospective bidder and/or persons or entities associated with the prospective bidder.

l.	Case Name and Number:					
	Was GC attempting to prequ					
	Date Arbitration or Litigation Name of Court or Arbitration					
		-				
	Location of Court or Arbitrat	ion Service:				
	Address:		, ,			
	-	(Street Address)		(City)		(State & Zip)
	Project Name:					
	Project or Contract Number:					
	Location:					
		(Street Address)	,	(City)		(State & Zip)
	Owner:					
	Contact Name:		Tel:		Cell:	
	Did the Owner hold your contra	act? ☐ Yes or ☐ No				
	If "No," then provide the contact	ct information for the entity	that held your cont	ract:		
	Company Name:					
	Contact Person:		Tel:		Cell:	
			Email:			
	Description of Claims: (Attac	h a separate page if neces	sary.)			
	Highest Amount Sought For Al	l Claims: \$				
	Amount Recovered: \$					
	Date of Claim Resolution:		_			
	Method of Resolution: (Check	one.)				
	☐ Judgment ☐	Arbitration Award S	Settlement			
	Other, describe:					



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PREQUALIFICATION DECLARATION

Ι,	, hereby declare that I ar	m the
(Printed Name)	· · •	
of		
(Title)	(Name of Bidder)	
submitting this Prequalification Questionnaire; that I am $\mbox{\bf d}$	uly authorized to sign this Prequalification Question	nnaire
on behalf of the above named bidder; and that all inform	ation set forth in this Prequalification Questionnair	re and
all attachments hereto are, to the best of my knowledge,	true, accurate, and complete as of its submission	date.
I declare, under penalty of perjury, that the foreg	oing is true and correct and that this Declaration w	as
executed in:		
	, in the State of	,
(Name of City if within a City, otherwise Name of County)	(State)	
on .		
(Date)		
	(Cimphon)	
	(Signature)	

END OF PREQUALIFICATION QUESTIONNAIRE